Interlacing Log-concavity of the Boros-Moll Polynomials

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Abstract. We introduce the notion of interlacing log-concavity of a polynomial sequence $\{P_m(x)\}_{m\geq 0}$, where $P_m(x)$ is a polynomial of degree m with positive coefficients $a_i(m)$. This sequence of polynomials is said to be interlacing log-concave if the ratios of consecutive coefficients of $P_m(x)$ interlace the ratios of consecutive coefficients of $P_{m+1}(x)$ for any $m\geq 0$. Interlacing log-concavity is stronger than the log-concavity. We show that the Boros-Moll polynomials are interlacing log-concave. Furthermore we give a sufficient condition for interlacing log-concavity which implies that some classical combinatorial polynomials are interlacing log-concave.

Keywords: interlacing log-concavity, log-concavity, Boros-Moll polynomial

AMS Subject Classification: 05A20; 33F10

1 Introduction

In this paper, we introduce the notion of interlacing log-concavity of a polynomial sequence $\{P_m(x)\}_{m\geq 0}$, which is stronger than the log-concavity of the polynomials $P_m(x)$. We shall show that the Boros-Moll polynomials are interlacing log-concave.

For a sequence polynomials $\{P_m(x)\}$, let

$$P_m(x) = \sum_{i=0}^m a_i(m) x^m,$$

and let $r_i(m) = a_i(m)/a_{i+1}(m)$. We say that the polynomials $P_m(x)$ are interlacing log-concave if the ratios $r_i(m)$ interlace the ratios $r_i(m+1)$, that is,

$$r_0(m+1) \le r_0(m) \le r_1(m+1) \le r_1(m) \le \dots \le r_{m-1}(m+1) \le r_{m-1}(m) \le r_m(m+1).$$
(1.1)

Recall that a sequence $\{a_i\}_{0 \leq i \leq m}$ of positive numbers is said to be log-concave if

$$\frac{a_0}{a_1} \le \frac{a_1}{a_2} \le \dots \le \frac{a_{m-1}}{a_m}.$$

It is clear that the interlacing log-concavity implies the log-concavity.

For the background on the Boros-Moll polynomials; see [1–6,10]. From now on, we shall use $P_m(a)$ to denote the Boros-Moll polynomial given by

$$P_m(x) = \sum_{j,k} {2m+1 \choose 2j} {m-j \choose k} {2k+2j \choose k+j} \frac{(x+1)^j (x-1)^k}{2^{3(k+j)}}.$$
 (1.2)

Boros and Moll [2] derived the following formula for the coefficient $d_i(m)$ of x^i in $P_m(x)$,

$$d_i(m) = 2^{-2m} \sum_{k=i}^{m} 2^k \binom{2m-2k}{m-k} \binom{m+k}{k} \binom{k}{i}.$$
 (1.3)

Boros and Moll [3] proved that the sequence $\{d_i(m)\}_{0 \leq i \leq m}$ is unimodal and the maximum element appears in the middle. In other words,

$$d_0(m) < d_1(m) < \dots < d_{\left[\frac{m}{2}\right]}(m) > d_{\left[\frac{m}{2}\right]-1}(m) > \dots > d_m(m).$$
 (1.4)

Moll [10] conjectured $P_m(x)$ is log-concave for any m. Kauers and Paule [9] confirmed this conjecture based on recurrence relations found by a computer algebra approach. Chen and Xia [7] showed that the sequence $\{d_i(m)\}_{0 \le i \le m}$ satisfies the ratio monotone property which implies the log-concavity and the spiral property. Chen and Gu showed that for any m, $P_m(x)$ is reverse ultra log-concave [8].

The main result of this paper is to show that the Boros-Moll polynomials are interlacing log-concave. We also give a sufficient condition for the interlacing log-concavity from which we see that several classical combinatorial polynomials are interlacing logconcave.

2 The interlacing log-concavity of $d_i(m)$

In this section, we show that for $m \geq 2$, the Boros-Moll polynomials $P_m(x)$ are interlacing log-concave. More precisely, we have

Theorem 2.1. For $m \geq 2$ and $0 \leq i \leq m$, we have

$$d_i(m)d_{i+1}(m+1) > d_{i+1}(m)d_i(m+1)$$
(2.1)

and

$$d_i(m)d_i(m+1) > d_{i-1}(m)d_{i+1}(m+1). (2.2)$$

The proof relies on the following recurrence relations derived by Kauers and Paule [9]. In fact, they found four recurrence relations for the Boros-Moll sequence $\{d_i(m)\}_{0 \le i \le m}$:

$$d_i(m+1) = \frac{m+i}{m+1}d_{i-1}(m) + \frac{(4m+2i+3)}{2(m+1)}d_i(m), \quad 0 \le i \le m+1,$$
(2.3)

$$d_{i}(m+1) = \frac{(4m-2i+3)(m+i+1)}{2(m+1)(m+1-i)}d_{i}(m)$$

$$-\frac{i(i+1)}{(m+1)(m+1-i)}d_{i+1}(m), \qquad 0 \le i \le m,$$

$$d_{i}(m+2) = \frac{-4i^{2}+8m^{2}+24m+19}{2(m+2-i)(m+2)}d_{i}(m+1)$$
(2.4)

$$-\frac{(m+i+1)(4m+3)(4m+5)}{4(m+2-i)(m+1)(m+2)}d_i(m), \qquad 0 \le i \le m+1,$$
 (2.5)

and for $0 \le i \le m+1$,

$$(m+2-i)(m+i-1)d_{i-2}(m) - (i-1)(2m+1)d_{i-1}(m) + i(i-1)d_i(m) = 0. (2.6)$$

Note that Moll [11] also has independently derived the recurrence relation (2.6) from which the other three relations can be deduced.

To prove (2.1), we give the following lemma.

Lemma 2.2. Let $m \ge 2$ be an integer. For $0 \le i \le m-2$, we have

$$\frac{d_i(m)}{d_{i+1}(m)} < \frac{(4m+2i+3)d_{i+1}(m)}{(4m+2i+7)d_{i+2}(m)}. (2.7)$$

Proof. We proceed by induction on m. It is easy to check that the theorem is valid for m = 2. Assume that the result is true for n, that is, for $0 \le i \le n - 2$,

$$\frac{d_i(n)}{d_{i+1}(n)} < \frac{(4n+2i+3)d_{i+1}(n)}{(4n+2i+7)d_{i+2}(n)}. (2.8)$$

We aim to show that (2.7) holds for n + 1, that is, for $0 \le i \le n - 1$,

$$\frac{d_i(n+1)}{d_{i+1}(n+1)} < \frac{(4n+2i+7)d_{i+1}(n+1)}{(4n+2i+11)d_{i+2}(n+1)}.$$
(2.9)

From the recurrence relation (2.3), we can verify that for $0 \le i \le n-1$,

$$(2i+4n+7)d_{i+1}^{2}(n+1) - (2i+4n+11)d_{i}(n+1)d_{i+2}(n+1)$$

$$= (2i+4n+7)\left(\frac{i+n+1}{n+1}d_{i}(n) + \frac{2i+4n+5}{2(n+1)}d_{i+1}(n)\right)^{2}$$

$$- (2i+4n+11)\left(\frac{i+n+2}{n+1}d_{i+1}(n) + \frac{2i+4n+7}{2(n+1)}d_{i+2}(n)\right)$$

$$\times \left(\frac{n+i}{n+1}d_{i-1}(n) + \frac{2i+4n+3}{2(n+1)}d_{i}(n)\right)$$

$$=\frac{A_1(n,i)+A_2(n,i)+A_3(n,i)}{4(n+1)^2},$$

where $A_1(n,i)$, $A_2(n,i)$ and $A_3(n,i)$ are given by

$$A_{1}(n,i) = 4(2i+4n+7)(i+n+1)^{2}d_{i}^{2}(n)$$

$$-4(n+i)(2i+4n+11)(i+n+2)d_{i+1}(n)d_{i-1}(n),$$

$$A_{2}(n,i) = (2i+4n+7)(2i+4n+5)^{2}d_{i+1}^{2}(n)$$

$$-(2i+4n+3)(2i+4n+11)(2i+4n+7)d_{i}(m)d_{i+2}(n),$$

$$A_{3}(n,i) = (8i^{3}+40i^{2}+58i+32n^{3}+42n+80n^{2}+120ni+40i^{2}n+64n^{2}i+8)$$

$$\cdot d_{i+1}(n)d_{i}(n) - 2(n+i)(2i+4n+11)(2i+4n+7)d_{i+2}(n)d_{i-1}(n)$$

We claim that $A_1(n,i)$, $A_2(n,i)$ and $A_3(n,i)$ are positive for $0 \le i \le n-2$. By the inductive hypothesis (2.8), we find that for $0 \le i \le n-2$,

$$A_1(n,i) > 4(2i+4n+7)(i+n+1)^2 d_i^2(n)$$

$$-4(n+i)(2i+4n+11)(i+n+2) \frac{(4n+2i+1)}{(4n+2i+5)} d_i^2(n)$$

$$= 4 \frac{35+96n+72i+64ni+40n^2+28i^2}{2i+4n+5} d_i^2(n),$$

which is positive. From (2.8) it follows that for $0 \le i \le n-2$,

$$A_2(n,i) > (2i+4n+7)(2i+4n+5)^2 d_{i+1}^2(n)$$

$$-(2i+4n+3)(2i+4n+11)(2i+4n+7)\frac{(4n+2i+3)}{(4n+2i+7)}d_{i+1}^2(n)$$

$$= (40i+80n+76)d_{i+1}^2(n),$$

which is also positive. By the inductive hypothesis (2.8), we see that for $0 \le i \le n-2$,

$$d_i(n)d_{i+1}(n) > \frac{(2i+4n+5)(2i+4n+7)}{(2i+4n+3)(2i+4n+1)}d_{i-1}(n)d_{i+2}(n).$$
 (2.10)

Because of (2.10), we see that

$$A_{3}(n,i) > (8i^{3} + 40i^{2} + 58i + 32n^{3} + 42n + 80n^{2} + 120ni + 40i^{2}n + 64n^{2}i + 8)d_{i+1}(n)d_{i}(n)$$

$$-2(n+i)(2i+4n+11)(2i+4n+7)\frac{(4n+2i+3)(4n+2i+1)}{(4n+2i+5)(4n+2i+7)}d_{i+1}(n)d_{i}(n)$$

$$=8\frac{5+22n+30i+44ni+24n^{2}+16i^{2}}{2i+4n+5}d_{i+1}(n)d_{i}(n),$$

which is still positive for $0 \le i \le n-2$. Hence we deduce the inequality (2.9) for $0 \le i \le n-2$. It remains to check that (2.9) is true for i = n-1, that is,

$$\frac{d_{n-1}(n+1)}{d_n(n+1)} < \frac{(6n+5)d_n(n+1)}{(6n+9)d_{n+1}(n+1)}. (2.11)$$

In view of (1.3), we get

$$d_n(n+1) = 2^{-n-2}(2n+3) {2n+2 \choose n+1}, (2.12)$$

$$d_{n+1}(n+1) = \frac{1}{2^{n+1}} \binom{2n+2}{n+1}.$$
 (2.13)

$$d_n(n+2) = \frac{(n+1)(4n^2 + 18n + 21)}{2^{n+4}(2n+3)} {2n+4 \choose n+2}.$$
 (2.14)

Consequently,

$$\frac{d_{n-1}(n+1)}{d_n(n+1)} = \frac{n(4n^2+10n+7)}{2(2n+1)(2n+3)} < \frac{(2n+3)(6n+5)}{2(6n+9)} = \frac{(6n+5)d_n(n+1)}{(6n+9)d_{n+1}(n+1)}.$$

This completes the proof.

We now proceed to give a proof of (2.1). In fact we shall prove a stronger inequality.

Lemma 2.3. Let $m \ge 2$ be a positive integer. For $0 \le i \le m-1$, we have

$$\frac{d_i(m)}{d_{i+1}(m)} > \frac{(2i+4m+5)d_i(m+1)}{(2i+4m+3)d_{i+1}(m+1)}. (2.15)$$

Proof. By Lemma 2.2, we have for $0 \le i \le m-1$,

$$d_i^2(m) > \frac{2i + 4m + 5}{2i + 4m + 1} d_{i-1}(m) d_{i+1}(m). \tag{2.16}$$

From (2.16) and the recurrence relation (2.3), we find that for $0 \le i \le m-1$,

$$d_{i+1}(m+1)d_{i}(m) - \frac{2i+4m+5}{2i+4m+3}d_{i+1}(m)d_{i}(m+1)$$

$$= \frac{2i+4m+5}{2(m+1)}d_{i+1}(m)d_{i}(m) + \frac{i+m+1}{m+1}d_{i}(m)^{2}$$

$$- \frac{2i+4m+5}{2i+4m+3}\left(\frac{2i+4m+3}{2(m+1)}d_{i}(m)d_{i+1}(m) + \frac{i+m}{m+1}d_{i-1}(m)d_{i+1}(m)\right)$$

$$= \frac{i+m+1}{m+1}d_{i}^{2}(m) - \frac{(4m+2i+5)(m+i)}{(4m+2i+3)(m+1)}d_{i-1}(m)d_{i+1}(m)$$

$$> \left(\frac{m+1+i}{m+1} - \frac{(4m+2i+1)(m+i)}{(4m+2i+3)(m+1)}\right) d_i^2(m)$$

$$= \frac{6m+4i+3}{(4m+2i+3)(m+1)} d_i^2(m),$$

which is positive. This yields (2.15), and hence the proof is complete.

Let us turn to the proof of (2.2).

Proof of (2.2). We proceed by induction on m. Clearly, the (2.2) holds for m = 2. We assume that it is true for $n \ge 2$, that is, for $0 \le i \le n - 1$,

$$\frac{d_i(n)}{d_{i+1}(n)} < \frac{d_{i+1}(n+1)}{d_{i+2}(n+1)}. (2.17)$$

It will be shown that the theorem holds for n+1, that is, for $0 \le i \le n$,

$$\frac{d_i(n+1)}{d_{i+1}(n+1)} < \frac{d_{i+1}(n+2)}{d_{i+2}(n+2)}. (2.18)$$

From the unimodality (1.4), it follows that $d_i(n+1) < d_{i+1}(n+1)$ for $0 \le i \le \left\lfloor \frac{n+1}{2} \right\rfloor - 1$ and $d_i(n+1) > d_{i+1}(n+1)$ for $\left\lfloor \frac{n+1}{2} \right\rfloor \le i \le n$. From the recurrence relation (2.3), we find that for $0 \le i \le \left\lfloor \frac{n+1}{2} \right\rfloor - 1$,

$$\begin{split} d_{i+1}(n+1)d_{i+1}(n+2) - d_{i+2}(n+2)d_i(n+1) \\ &= \frac{2i+4n+9}{2(n+2)}d_{i+1}^2(n+1) + \frac{i+n+2}{n+2}d_i(n+1)d_{i+1}(n+1) \\ &- \frac{2i+4n+11}{2(n+2)}d_i(n+1)d_{i+2}(n+1) - \frac{i+n+3}{n+2}d_i(n+1)d_{i+1}(n+1) \\ &= \frac{2i+4n+9}{2(n+2)}d_{i+1}^2(n+1) - \frac{2i+4n+11}{2(n+2)}d_i(n+1)d_{i+2}(n+1) \\ &- \frac{1}{n+2}d_i(n+1)d_{i+1}(n+1) \\ &> \frac{2i+4n+7}{2(n+2)}d_{i+1}^2(n+1) - \frac{2i+4n+11}{2(n+2)}d_i(n+1)d_{i+2}(n+1), \end{split}$$

which is positive by Lemma 2.2. It follows that for $0 \le i \le \left[\frac{n+1}{2}\right] - 1$,

$$d_{i+1}(n+1)d_{i+1}(n+2) - d_{i+2}(n+2)d_i(n+1) > 0. (2.19)$$

In other words, (2.2) is valid for $0 \le i \le \left[\frac{n+1}{2}\right] - 1$.

We now consider the case $\left[\frac{n+1}{2}\right] \leq i \leq n-1$. From the recurrence relations (2.3) and (2.4), it follows that for $\left[\frac{n+1}{2}\right] \leq i \leq n-1$,

$$d_{i+1}(n+2)d_{i+1}(n+1) - d_{i+2}(n+2)d_i(n+1)$$

$$= \left(\frac{(4n-2i+5)(n+i+3)}{2(n+2)(n+1-i)}d_{i+1}(n+1) - \frac{(i+1)(i+2)}{(n+2)(n+1-i)}d_{i+2}(n+1)\right)$$

$$\times \left(\frac{n+1+i}{n+1}d_{i}(n) + \frac{4n+2i+5}{2(n+1)}d_{i+1}(n)\right)$$

$$- \left(\frac{n+3+i}{n+2}d_{i+1}(n+1) + \frac{4n+2i+11}{2(n+2)}d_{i+2}(n+1)\right)$$

$$\times \left(\frac{(4n-2i+3)(n+i+1)}{2(n+1)(n+1-i)}d_{i}(n) - \frac{i(i+1)}{(n+1)(n+1-i)}d_{i+1}(n)\right)$$

$$= B_{1}(n,i)d_{i+1}(n+1)d_{i}(n) + B_{2}(n,i)d_{i+1}(n+1)d_{i+1}(n)$$

$$+ B_{3}(n,i)d_{i+2}(n+1)d_{i}(n) + B_{4}(n,i)d_{i+2}(n+1)d_{i+1}(n).$$

where $B_1(n,i)$, $B_2(n,i)$, $B_3(n,i)$ and $B_4(n,i)$ are given by

$$B_1(n,i) = \frac{(n+i+3)(n+1+i)}{(n+2)(n+1-i)(n+1)},$$
(2.20)

$$B_2(n,i) = \frac{(n+i+3)(16n^2+40n+25+4i)}{4(n+2)(n+1-i)(n+1)},$$
(2.21)

$$B_3(n,i) = -\frac{(n+1+i)(41+16n^2+56n-4i)}{4(n+2)(n+1-i)(n+1)},$$
(2.22)

$$B_4(n,i) = -\frac{(i+1)(4n+5-i)}{(n+2)(n+1-i)(n+1)}. (2.23)$$

Since $\left[\frac{n+1}{2}\right] \leq i \leq n-1$, it is clear from (1.4) that $d_{i+1}(n+1) > d_{i+2}(n+1)$ and $d_i(n) > d_{i+1}(n)$. Thus we get

$$d_{i+1}(n+1)d_i(n) > d_{i+1}(n+1)d_{i+1}(n), (2.24)$$

$$d_{i+1}(n+1)d_{i+1}(n) > d_{i+2}(n+1)d_{i+1}(n).$$
(2.25)

Observe that $B_1(n,i)$, $B_2(n,i)$ are positive and $B_3(n,i)$, $B_4(n,i)$ are negative. By the inductive hypothesis (2.17), (2.24) and (2.25), we deduce that for $\left[\frac{n+1}{2}\right] \leq i \leq n-1$,

$$d_{i+1}(n+2)d_{i+1}(n+1) - d_{i+2}(n+2)d_{i}(n+1)$$

$$> (B_{1}(n,i) + B_{2}(n,i) + B_{3}(n,i) + B_{4}(n,i)) d_{i+1}(n+1)d_{i+1}(n)$$

$$= \frac{24n + 10n^{2} - 8ni + 8i^{2} + 13}{2(n+2)(n+1-i)(n+1)} d_{i+1}(n+1)d_{i+1}(n) > 0.$$
(2.26)

From the inequalities (2.19) and (2.26), it can be seen that (2.18) holds for $0 \le i \le n-1$.

We still are left with case i = n, that is,

$$\frac{d_n(n+1)}{d_{n+1}(n+1)} < \frac{d_{n+1}(n+2)}{d_{n+2}(n+2)}. (2.27)$$

Applying (2.6) with i = n + 2, we find that

$$\frac{d_n(n+1)}{d_{n+1}(n+1)} = \frac{2n+3}{2} < \frac{2n+5}{2} = \frac{d_{n+1}(n+2)}{d_{n+2}(n+2)},$$

as desired. This completes the proof.

3 Examples of interlacing log-concave polynomials

Many combinatorial polynomials with only real zeros admit triangular relations on their coefficients. The log-concavity of polynomials of this kind have been extensively studied. We show that several classical polynomials that are interlacing log-concave. To this end, we give a criterion for interlacing log-concavity based on triangular relations on the coefficients.

Theorem 3.1. Suppose that for any $n \geq 0$,

$$G_n(x) = \sum_{k=0}^{n} T(n,k)x^k$$

is a polynomial of degree n which has only real zeros, and suppose that the coefficients T(n,k) satisfy a recurrence relation of the following triangular form

$$T(n,k) = f(n,k)T(n-1,k) + g(n,k)T(n-1,k-1).$$

If

$$\frac{(n-k)k}{(n-k+1)(k+1)}f(n+1,k+1) \le f(n+1,k) \le f(n+1,k+1)$$
(3.1)

and

$$g(n+1,k+1) \le g(n+1,k) \le \frac{(n-k+1)(k+1)}{(n-k)k}g(n+1,k+1), \tag{3.2}$$

then the polynomials $G_n(x)$ are interlacing log-concave.

Proof. Given the condition that $G_n(x)$ has only real zeros, by Newton's inequality, we have

$$k(n-k)T(n,k)^2 \ge (k+1)(n-k+1)T(n,k-1)T(n,k+1).$$

Hence

$$T(n,k)T(n+1,k+1) - T(n+1,k)T(n,k+1)$$

$$= f(n+1,k+1)T(n,k)T(n,k+1) + g(n+1,k+1)T(n,k)^{2}$$

$$- f(n+1,k)T(n,k)T(n,k+1) - g(n+1,k)T(n,k-1)T(n,k+1)$$

$$\geq \left(f(n+1,k+1) - f(n+1,k)\right)T(n,k)T(n,k+1) + \left(\frac{(n-k+1)(k+1)}{(n-k)k}g(n+1,k+1) - g(n+1,k)\right)T(n,k-1)T(n,k+1),$$

which is positive by (3.1) and (3.2). It follows that

$$\frac{T(n,k)}{T(n,k+1)} \ge \frac{T(n+1,k)}{T(n+1,k+1)}. (3.3)$$

On the other hand, we have

$$T(n, k+1)T(n+1, k+1) - T(n, k)T(n+1, k+2)$$

$$= f(n+1, k+1)T(n, k+1)^2 + g(n+1, k+1)T(n, k)T(n, k+1)$$

$$- f(n+1, k+2)T(n, k)T(n, k+2) - g(n+1, k+2)T(n, k+1)T(n, k)$$

$$\geq \left(f(n+1, k+1) - \frac{(n-k-1)(k+1)}{(n-k)(k+2)}f(n+1, k+2)\right)T(n, k+1)^2$$

$$+ (g(n+1, k+1) - g(n+1, k+2))T(n, k+1)T(n, k).$$

Invoking (3.1) and (3.2), we get

$$\frac{T(n,k)}{T(n,k+1)} \le \frac{T(n+1,k+1)}{T(n+1,k+2)}. (3.4)$$

Hence the proof is complete by combining (3.3) and (3.4).

Theorem 3.1 we can show that many combinatorial polynomials which have only real zeros are interlacing log-concave. For example, the polynomials $(x+1)^n$, $x(x+1)\cdots(x+n-1)$, the Bell polynomials, and the Whitney polynomials

$$W_{m,n}(x) = \sum_{k=1}^{n} W_m(n,k)x^k,$$

where m is fixed nonnegative integer and the coefficients $W_m(n,k)$ satisfy the recurrence relation

$$W_m(n,k) = (1+mk)W_m(n-1,k) + W_m(n-1,k-1).$$

To conclude, we remark that numerical evidence suggests that the Boros-Moll polynomials possess higher order interlacing log-concavity in the spirit of the infinite-log-concavity as introduced by Moll [10].

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