

# Set Systems with $\mathcal{L}$ -intersections modulo a Prime Number

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## Abstract

Let  $p$  be a prime and let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  and  $K = \{k_1, k_2, \dots, k_r\}$  be two subsets of  $\{0, 1, 2, \dots, p-1\}$  satisfying  $\max l_j < \min k_i$ . We will prove the following results: If  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$  is a family of subsets of  $[n] = \{1, 2, \dots, n\}$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$  and  $|F_i| \pmod{p} \in K$  for every  $1 \leq i \leq m$ , then

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{s-2r+1}.$$

If either  $K$  is a set of  $r$  consecutive integers or  $\mathcal{L} = \{1, 2, \dots, s\}$ , then

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{s-r}.$$

We will also prove similar results which involve two families of subsets of  $[n]$ . These results improve the existing upper bounds substantially.

## 1 Introduction

Throughout the paper, we use  $X$  for the set  $[n] = \{1, 2, \dots, n\}$ . A family  $\mathcal{F}$  of subsets of  $X = [n]$  is called *intersecting* if every pair of distinct subsets  $E, F \in \mathcal{F}$  have a nonempty intersection. Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  nonnegative integers. A family  $\mathcal{F}$  of subsets of  $X = [n]$  is called  *$\mathcal{L}$ -intersecting* if  $|E \cap F| \in \mathcal{L}$  for every pair of distinct subsets  $E, F \in \mathcal{F}$ . A family  $\mathcal{F}$  is  *$k$ -uniform* if it is a collection of  $k$ -subsets of  $X$ . Thus, a  $k$ -uniform intersecting family is  $\mathcal{L}$ -intersecting for  $\mathcal{L} = \{1, 2, \dots, k-1\}$ .

In 1961, Erdős-Ko-Rado [4] proved the following classical result.

**Theorem 1.1** *Let  $n \geq 2k$  and let  $\mathcal{F}$  be a  $k$ -uniform intersecting family of subsets of  $[n]$ . Then  $|\mathcal{F}| \leq \binom{n-1}{k-1}$  with equality only when  $\mathcal{F}$  consists of all  $k$ -subsets containing a common element.*

The following is an intersection theorem of de Bruijn and Erdős [3], which drops the condition for the subsets to be  $k$ -uniform, but requires that the intersections to have only one element.

**Theorem 1.2** *If  $\mathcal{F}$  is a family of subsets of  $X$  satisfying  $|E \cap F| = 1$  for every pair of distinct subsets  $E, F \in \mathcal{F}$ , then  $|\mathcal{F}| \leq n$ .*

A year later, Bose [2] obtained the following more general intersection theorem which requires the intersections to have exactly  $\lambda$  elements.

**Theorem 1.3** *If  $\mathcal{F}$  is a family of subsets of  $X$  satisfying  $|E \cap F| = \lambda$  for every pair of distinct subsets  $E, F \in \mathcal{F}$ , then  $|\mathcal{F}| \leq n$ .*

In 1975, Ray-Chaudhuri and Wilson [10] made a major progress by deriving the following upper bound for a  $k$ -uniform  $\mathcal{L}$ -intersecting family.

**Theorem 1.4** *Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  nonnegative integers. If  $\mathcal{F}$  is a  $k$ -uniform  $\mathcal{L}$ -intersecting family of subsets of  $X$ , then  $|\mathcal{F}| \leq \binom{n}{s}$ .*

In terms of the parameters  $n$  and  $s$ , this inequality is best possible, as shown by the set of all  $s$ -subsets of an  $n$ -set with  $\mathcal{L} = \{0, 1, \dots, s-1\}$ . As to non-uniform  $\mathcal{L}$ -intersecting families, in 1981, Frankl and Wilson [6] obtain the following tight upper bound.

**Theorem 1.5** *Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  nonnegative integers. If  $\mathcal{F}$  is an  $\mathcal{L}$ -intersecting family of subsets of  $X$ , then*

$$|\mathcal{F}| \leq \binom{n}{s} + \binom{n}{s-1} + \dots + \binom{n}{0}.$$

This result is best possible in terms of the parameters  $n$  and  $s$ , as shown by the set of all subsets of size at most  $s$  of an  $n$ -set. J. Qian and Ray-Chaudhuri [9] have characterized the extremal case of this theorem.

In 1991, Alon, Babai, and Suzuki [1] considered the problem of how large a set system with specific intersection sizes and subset sizes can be, and they obtain the following theorem which is a generalization of both Theorems 1.4 and 1.5.

**Theorem 1.6** *Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  nonnegative integers and  $K = \{k_1, k_2, \dots, k_r\}$  be a set of integers satisfying  $k_i > s - r$  for every  $i$ . Let  $\mathcal{F}$  be an  $\mathcal{L}$ -intersecting family of subsets of  $X$  such that  $|F| \in K$  for every  $F \in \mathcal{F}$ . Then*

$$|\mathcal{F}| \leq \binom{n}{s} + \binom{n}{s-1} + \dots + \binom{n}{s-r+1}.$$

Clearly, Theorem 1.4 is a special case of Theorem 1.6 for  $r = 1$  and Theorem 1.5 is a special case of Theorem 1.6 for  $r = n$  and  $K = X = [n]$ , under the convention that  $\binom{i}{j} = 0$  if  $i \geq 0$  and  $j < 0$ . Moreover, this result is also best possible, as demonstrated by the set of all subsets of an  $n$ -set  $X$  with cardinalities at least  $s - r + 1$  and at most  $s$ .

Note that the set  $\mathcal{L}$  in the above theorems may contain 0. Stronger bounds can be obtained if we restrict  $\mathcal{L}$  to be a set of positive integers. To this end, the following theorem was conjectured by Frankl and Füredi in 1981 [5]. It was proved by Ramanan [11] in 1997. A different proof was given by Sankar and Vishwanathan [12].

**Theorem 1.7** *Let  $\mathcal{L} = \{1, 2, \dots, s\}$ . If  $\mathcal{F}$  is an  $\mathcal{L}$ -intersecting family of subsets of  $X$ , then*

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{0}.$$

For a general set  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  of  $s$  positive integers, a conjecture was made by Snevily in 1994 [13], and proved by himself in 2003 [16], which is described as in the following theorem.

**Theorem 1.8** *Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  positive integers. If  $\mathcal{F}$  is an  $\mathcal{L}$ -intersecting family of subsets of  $X$ , then*

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{0}.$$

In the same paper [16], Snevily made the following two conjectures.

**Conjecture 1.9** *Let  $p$  be a prime and let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  and  $K = \{k_1, k_2, \dots, k_r\}$  be two disjoint subsets of  $\{0, 1, 2, \dots, p-1\}$ . Suppose  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$  is a family of subsets of  $X$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$  and  $|F_i| \pmod{p} \in K$  for every  $1 \leq i \leq m$ . Then*

$$|\mathcal{F}| \leq \binom{n}{s} = \binom{n-1}{s} + \binom{n-1}{s-1}.$$

**Conjecture 1.10** Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  positive integers. Suppose that  $\mathcal{A} = \{A_1, A_2, \dots, A_m\}$  and  $\mathcal{B} = \{B_1, B_2, \dots, B_m\}$  are two collections of subsets of  $X$  such that  $|A_i \cap B_j| \in \mathcal{L}$  for  $i \neq j$  and  $|A_i \cap B_i| = 0$  for every  $i$ . Then

$$m \leq \binom{n}{s} = \binom{n-1}{s} + \binom{n-1}{s-1}.$$

Here, we will prove the following results which either improve the existing upper bounds substantially or confirm the above conjectures partially.

**Theorem 1.11** Let  $p$  be a prime and let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  and  $K = \{k_1, k_2, \dots, k_r\}$  be two subsets of  $\{0, 1, 2, \dots, p-1\}$  satisfying  $\max l_j < \min k_i$ . Suppose  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$  is a family of subsets of  $X$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$  and  $|F_i| \pmod{p} \in K$  for every  $1 \leq i \leq m$ . Then

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{s-2r+1}.$$

As an immediate consequence to this theorem, by taking  $r = 1$ , we have the following which shows that Conjecture 1.9 is true when  $\mathcal{F}$  is a  $k$ -uniform family of subsets (i.e., a family of  $k$ -subsets) of  $X = [n]$ .

**Corollary 1.12.** Let  $p$  be a prime and let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  and  $K = \{k\}$  be two subsets of  $\{0, 1, 2, \dots, p-1\}$  satisfying  $\max l_j < k$ . Suppose  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$  is a family of  $k$ -subsets of  $X$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$ . Then

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1}.$$

**Theorem 1.13.** Let  $p$  be a prime and let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  and  $K = \{k, k+1, \dots, k+r-1\}$  be two subsets of  $\{0, 1, 2, \dots, p-1\}$  satisfying  $\max l_j < k$ . Suppose  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$

is a family of subsets of  $X$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$  and  $|F_i| \pmod{p} \in K$  for every  $1 \leq i \leq m$ . Then

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \cdots + \binom{n-1}{s-r}.$$

**Theorem 1.14.** Let  $p$  be a prime and let  $\mathcal{L} = \{1, 2, \dots, s\}$  and  $K = \{k_1, k_2, \dots, k_r\}$  be two subsets of  $\{0, 1, 2, \dots, p-1\}$  satisfying  $s < \min k_i$ . Suppose  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$  is a family of subsets of  $X$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$  and  $|F_i| \pmod{p} \in K$  for every  $1 \leq i \leq m$ . Then

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \cdots + \binom{n-1}{s-r}.$$

Note that Theorem 1.14 gives an extension of the main theorem in [8] to its modular version.

**Theorem 1.15.** Let  $p$  be a prime and  $\mathcal{L} = \{l_1, l_2, \dots, l_s\} \subseteq \{1, 2, \dots, p-1\}$ . Suppose that  $\mathcal{A} = \{A_1, A_2, \dots, A_m\}$  and  $\mathcal{B} = \{B_1, B_2, \dots, B_m\}$  are two collections of subsets of  $X$  such that  $|A_i \cap B_j| \pmod{p} \in \mathcal{L}$  for  $i \neq j$  and  $|A_i \cap B_i| = 0$  for every  $i$ . If  $\max l_j < \min\{|A_i| \pmod{p} | 1 \leq i \leq m\}$ , then

$$m \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \cdots + \binom{n-1}{s-2r+1},$$

where  $r$  is the number of different set sizes in  $\mathcal{A}$ .

Clearly, by selecting a prime  $p$  greater than  $n$ , we obtain the following immediate corollary.

**Corollary 1.16.** Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  positive integers. Suppose that  $\mathcal{A} = \{A_1, A_2, \dots, A_m\}$  and  $\mathcal{B} = \{B_1, B_2, \dots, B_m\}$  are two collections of subsets of  $X$  such that  $|A_i \cap B_j| \in \mathcal{L}$  for  $i \neq j$  and  $|A_i \cap B_i| = 0$  for every  $i$ . If  $\max l_j < \min\{|A_i| : 1 \leq i \leq m\}$ , then

$$m \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \cdots + \binom{n-1}{s-2r+1}.$$

where  $r$  is the number of different set sizes in  $\mathcal{A}$ .

As an immediate consequence to Corollary 1.16, by taking  $r = 1$ , we have the following which shows that Conjecture 1.10 is true when either  $\mathcal{A}$  is  $k$ -uniform (or  $\mathcal{B}$  is  $k$ -uniform by symmetry).

**Corollary 1.17.** Let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  be a set of  $s$  positive integers and  $\max l_j < k$ . Suppose that  $\mathcal{A} = \{A_1, A_2, \dots, A_m\}$  and  $\mathcal{B} = \{B_1, B_2, \dots, B_m\}$  are two collections of subsets of  $X$  such that  $|A_i \cap B_j| \in \mathcal{L}$  for  $i \neq j$  and  $|A_i \cap B_i| = 0$  for every  $i$ . If either  $\mathcal{A}$  is  $k$ -uniform or  $\mathcal{B}$  is  $k$ -uniform, then

$$m \leq \binom{n}{s} = \binom{n-1}{s} + \binom{n-1}{s-1}.$$

Note that this bound is sharp as shown by taking all  $k$ -subsets of  $[n]$  for  $\mathcal{A}$  and all  $(n-k)$ -subsets for  $\mathcal{B}$ .

When either the set sizes ( $\text{mod } p$ ) in  $\mathcal{A}$  is a set of  $r$  consecutive integers or the set sizes ( $\text{mod } p$ ) in  $\mathcal{B}$  is a set of  $r$  consecutive integers, we have the following theorem which gives a better bound than Theorem 1.15.

**Theorem 1.18.** Let  $p$  be a prime and  $\mathcal{L} = \{l_1, l_2, \dots, l_s\} \subseteq \{1, 2, \dots, p-1\}$ . Suppose that  $\mathcal{A} = \{A_1, A_2, \dots, A_m\}$  and  $\mathcal{B} = \{B_1, B_2, \dots, B_m\}$  are two collections of subsets of  $X$  such that  $|A_i \cap B_j| \pmod{p} \in \mathcal{L}$  for  $i \neq j$  and  $|A_i \cap B_i| = 0$  for every  $i$ . If the set sizes ( $\text{mod } p$ ) in  $\mathcal{A}$  (or in  $\mathcal{B}$ ) is a set of  $r$  consecutive integers in  $\{1, 2, \dots, p-1\}$  and  $\max l_j < \min\{|A_i| \pmod{p} | 1 \leq i \leq m\}$ , then

$$m \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{s-r}.$$

## 2 Proof of Theorems 1.11, 1.13, and 1.14

We will use  $x = (x_1, x_2, \dots, x_n)$  to denote a vector of  $n$  variables with each variable  $x_j$  taking values 0 or 1. A polynomial  $p(x)$  in variables  $x_i$ ,  $1 \leq i \leq n$ , is called *multilinear* if the power of each variable  $x_i$  in each term is at most one. Clearly, if each variable  $x_i$  takes only the values 0 or 1, then any polynomial in variables  $x_i$ ,  $1 \leq i \leq n$ , is multilinear since any positive power of a variable  $x_i$  may be replaced by one. For a subset  $F$  of  $X = [n]$ , we define *the characteristic vector* of  $F$  to be the vector  $u = (u_1, u_2, \dots, u_n) \in R^n$  with  $u_j = 1$  if  $j \in F$  and  $u_j = 0$  otherwise. In what follows, we will use  $v_i$  to denote the characteristic vector of  $F_i \in \mathcal{F}$ .

To prove our results, we need the following lemma which is Lemma 3.6 in [1]. We say a set  $H = \{h_1, h_2, \dots, h_t\} \subseteq [n]$  has a gap of size  $\geq d$  (where the  $h_i$  are arranged in increasing order) if either  $h_1 \geq d - 1$ , or  $n - h_t \geq d - 1$ , or  $h_{i+1} - h_i \geq d$  for some  $i$  ( $1 \leq i \leq t - 1$ ). For a subset  $I \subseteq [n]$ , we denote  $x_I = \prod_{j \in I} x_j$ .

**Lemma 2.1.** Let  $p$  be a prime and  $H \subseteq \{0, 1, \dots, p - 1\}$  be a set of integers such that the set  $(H + p\mathbf{Z}) \cap \{0, 1, \dots, n\}$  has a gap  $\geq d + 1$ , where  $d \geq 0$ . Let  $f$  denote the following polynomial in  $n$  variables

$$f(x) = \prod_{h \in H} \left( \sum_{j=1}^n x_j - h \right).$$

Then the set of polynomials  $\{x_I f \mid |I| \leq d - 1\}$  is linearly independent over  $\mathbf{F}_p$ .

**Proof of Theorem 1.11.** Let  $p$  be a prime and let  $\mathcal{L} = \{l_1, l_2, \dots, l_s\}$  and  $K = \{k_1, k_2, \dots, k_r\}$  be two subsets of  $\{0, 1, 2, \dots, p - 1\}$  satisfying  $\max l_j < \min k_i$ . Suppose  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$  is a family of subsets of  $X$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$  and  $|F_i| \pmod{p} \in K$  for every  $1 \leq i \leq m$ .

For  $1 \leq i \leq m$ , define

$$f_i(x) = \prod_{j=1}^s (v_i \cdot x - l_j),$$

where  $x = (x_1, x_2, \dots, x_n)$  with each  $x_j$  taking values 0 or 1. Then each  $f_i(x)$  is a multilinear polynomial of degree at most  $s$  since any positive power of a variable may be replaced by one. Moreover, since  $\max l_j < \min k_i$ ,  $\mathcal{L} \cap K = \emptyset$  and  $f_i(v_i) \not\equiv 0 \pmod{p}$  for every  $i \leq m$  and  $f_i(v_j) \equiv 0 \pmod{p}$  for every pair  $i \neq j$  since  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$ .

Let  $Q$  be the family of subsets of  $X = [n]$  with size at most  $s$  which contain  $n$ . Then  $|Q| = \sum_{i=0}^{s-1} \binom{n-1}{i}$ . For each  $L \in Q$ , define

$$q_L(x) = (1 - x_n) \prod_{j \in L, j \neq n} x_j.$$

Let  $H = \{k_i - 1 | k_i \in K\} \cup K$ . Then  $|H| \leq 2r$ . Set

$$f(x) = \prod_{h \in H} \left( \sum_{j=1}^{n-1} x_j - h \right).$$

Let  $W$  be the family of subsets of  $[n]$  with sizes at most  $s - 2r$  which do not contain  $n$ , Then  $|W| = \sum_{i=0}^{s-2r} \binom{n-1}{i}$ . For each  $I \in W$ , define

$$A_I(x) = f(x) \prod_{j \in I} x_j.$$

Then each  $A_I(x)$  is a multilinear polynomial of degree at most  $s$ .

We now proceed to show that the polynomials in

$$\{f_i(x) | 1 \leq i \leq m\} \cup \{q_L(x) | L \in Q\} \cup \{A_I(x) | I \in W\}$$

are linearly independent over  $\mathbf{F}_p$ . Suppose that we have a linear combination of these polynomials that equals zero:

$$\sum_{i=1}^m \alpha_i f_i(x) + \sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.1)$$

**Claim 1.**  $\alpha_i = 0$  for each  $i$  with  $n \in F_i$ .

Suppose, to the contrary, that  $i_0$  is a subscript such that  $n \in F_{i_0}$  and  $\alpha_{i_0} \neq 0$ . Since  $n \in F_{i_0}$ ,  $q_L(v_{i_0}) = 0$  for every  $L \in Q$ . Recall that  $f_j(v_{i_0}) = 0$  for  $j \neq i_0$  and  $f(v_j) = 0$  for every  $1 \leq j \leq m$ . By evaluating equation (2.1) with  $x = v_{i_0}$ , we obtain that  $\alpha_{i_0} f_{i_0}(v_{i_0}) = 0 \pmod{p}$ . Since  $f_{i_0}(v_{i_0}) \neq 0 \pmod{p}$ , we have  $\alpha_{i_0} = 0$ , a contradiction. Thus, Claim 1 holds.

**Claim 2.**  $\alpha_i = 0$  for each  $i$  with  $n \notin F_i$ . Applying Claim 1, we get

$$\sum_{n \notin F_i} \alpha_i f_i(x) + \sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.2)$$

Suppose, to the contrary, that  $i_0$  is a subscript such that  $n \notin F_{i_0}$  and  $\alpha_{i_0} \neq 0$ . Let  $v_{i_0}^* = v_{i_0} + (0, 0, \dots, 0, 0, 1)$  (namely, making  $x_n = 1$  in  $v_{i_0}^*$ ). Then  $q_L(v_{i_0}^*) = 0$  for every  $L \in Q$ . Note that  $f_i(v_{i_0}^*) = f_i(v_{i_0})$  for each  $i$  with  $n \notin F_i$  and  $A_I(v_{i_0}^*) = 0$  for each  $I \in W$  as  $f(v_{i_0}^*) = 0$ . By evaluating equation (2.2) with  $x = v_{i_0}^*$ , we obtain  $\alpha_{i_0} f_{i_0}(v_{i_0}^*) = \alpha_{i_0} f_{i_0}(v_{i_0}) = 0 \pmod{p}$  which implies  $\alpha_{i_0} = 0$ , a contradiction. Thus, the claim is verified.

**Claim 3.**  $\beta_L = 0$  for each  $L \in Q$ .

By Claims 1 and 2, we obtain

$$\sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.3)$$

Rewrite equation (2.3) as

$$\left[ \sum_{L \in Q} \beta_L q'_L(x) + \sum_{I \in W} \mu_I A_I(x) \right] - \left( \sum_{L \in Q} \beta_L q'_L(x) \right) x_n = 0, \quad (2.4)$$

where  $q'_L = \prod_{j \in L, j \neq n} x_j$ . Note that  $x_n$  does not appear in the first parentheses of equation (2.4). Setting  $x_n = 0$  in equation (2.4) gives us

$$\sum_{L \in Q} \beta_L q'_L(x) + \sum_{I \in W} \mu_I A_I(x) = 0$$

and

$$\left( \sum_{L \in Q} \beta_L q'_L(x) \right) x_n = 0.$$

By setting  $x_n = 1$ , we obtain

$$\sum_{L \in Q} \beta_L q'_L(x) = 0.$$

It is not difficult to see that the polynomials  $q'_L(x)$ ,  $L \in Q$ , are linearly independent. Therefore, we conclude that  $\beta_L = 0$  for each  $L \in Q$ .

By Claims 1-3, we now have

$$\sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.5)$$

Since  $H = \{k_i - 1 | k_i \in K\} \cup K$  and  $s - 1 \leq \max l_j < \min k_i$ ,  $H \subseteq \{0, 1, \dots, p - 1\}$  and  $H$  has a gap at least  $s$ . Recall that

$$f(x) = \prod_{h \in H} \left( \sum_{j=1}^{n-1} x_j - h \right).$$

By applying Lemma 2.1 with  $d - 1 = s - 2r$ , we conclude that the set of polynomials  $\{A_I(x) = x_I f(x) | I \in W\}$  is linearly independent over  $\mathbf{F}_p$ , and so  $\mu_I = 0$  for each  $I \in W$  in equation (2.5).

In summary, we have shown that the polynomials in

$$\{f_i(x) | 1 \leq i \leq m\} \cup \{q_L(x) | L \in Q\} \cup \{A_I(x) | I \in W\}$$

are linearly independent. Since the set of all monomials in variables  $x_i$ ,  $1 \leq i \leq n$ , of degree at most  $s$  forms a basis for the vector space of multilinear polynomials of degree at most  $s$ , it follows that

$$m + \sum_{i=0}^{s-1} \binom{n-1}{i} + \sum_{i=0}^{s-2r} \binom{n-1}{i} \leq \sum_{i=0}^s \binom{n}{i}$$

which implies that

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{s-2r+1}.$$

This completes the proof of the theorem. □

Note that if  $K = \{k, k + 1, \dots, k + r - 1\}$  is a set of  $r$  consecutive integers, then the set  $H = \{k_i - 1 | k_i \in K\} \cup K$  has size  $|H| = r + 1$ . Thus, with a little bit modification in the proof of Theorem 1.11, we obtain a proof for Theorem 1.13.

**Proof of Theorem 1.13.** The proof is almost identical to the proof of Theorem 1.11 by selecting  $W$  to be the set of all subsets of  $[n]$  with sizes at most  $s - r - 1$  which do not contain  $n$ .  $\square$

Next, we prove Theorem 1.14.

**Proof of Theorem 1.14.** Let  $p$  be a prime and let  $\mathcal{L} = \{1, 2, \dots, s\}$  and  $K = \{k_1, k_2, \dots, k_r\}$  be two subsets of  $\{0, 1, 2, \dots, p-1\}$  satisfying  $\max l_j < \min k_i$ . Suppose  $\mathcal{F} = \{F_1, F_2, \dots, F_m\}$  is a family of subsets of  $X$  such that  $|F_i \cap F_j| \pmod{p} \in \mathcal{L}$  for every pair  $i \neq j$  and  $|F_i| \pmod{p} \in K$  for every  $1 \leq i \leq m$ .

For  $1 \leq i \leq m$ , define

$$f_i(x) = \prod_{j=1}^s (v_i \cdot x - l_j),$$

where  $x = (x_1, x_2, \dots, x_n)$  with each  $x_j$  taking values 0 or 1. Then  $f_i(v_i) \not\equiv 0 \pmod{p}$  for every  $i \leq m$  and  $f_i(v_j) \equiv 0 \pmod{p}$  for every pair  $i \neq j$ .

Let  $Q$  be the family of subsets of  $X = [n]$  with size at most  $s$  which contain  $n$ . Then  $|Q| = \sum_{i=0}^{s-1} \binom{n-1}{i}$ . For each  $L \in Q$ , define

$$q_L(x) = \prod_{j \in L} x_j.$$

Set

$$f(x) = \prod_{k \in K} \left( \sum_{j=1}^n x_j - k \right).$$

Let  $W$  be the family of subsets of  $[n]$  with sizes at most  $s - r$  which do contain  $n$ , Then

$|W| = \sum_{i=0}^{s-r-1} \binom{n-1}{i}$ . For each  $I \in W$ , define

$$A_I(x) = (x_n - 1)f(x) \prod_{j \in I, j \neq n} x_j.$$

Then each  $A_I(x)$  is a multilinear polynomial of degree at most  $s$ .

We now proceed to show that the polynomials in

$$\{f_i(x) | 1 \leq i \leq m\} \cup \{q_L(x) | L \in Q\} \cup \{A_I(x) | I \in W\}$$

are linearly independent over  $\mathbf{F}_p$ . Suppose that we have a linear combination of these polynomials that equals zero:

$$\sum_{i=1}^m \alpha_i f_i(x) + \sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.6)$$

**Claim 1.**  $\alpha_i = 0$  for each  $i$  with  $n \notin F_i$ .

Suppose, to the contrary, that  $i_0$  is a subscript such that  $n \notin F_{i_0}$  and  $\alpha_{i_0} \neq 0$ . Since  $n \notin F_{i_0}$ ,  $q_L(v_{i_0}) = 0$  for every  $L \in Q$ . Recall that  $f_j(v_{i_0}) = 0$  for  $j \neq i_0$  and  $f(v_j) = 0$  for every  $1 \leq j \leq m$ . By evaluating equation (2.6) with  $x = v_{i_0}$ , we obtain that  $\alpha_{i_0} f_{i_0}(v_{i_0}) = 0 \pmod{p}$ . Since  $f_{i_0}(v_{i_0}) \neq 0 \pmod{p}$ , we have  $\alpha_{i_0} = 0$ , a contradiction. Thus, Claim 1 holds.

**Claim 2.**  $\beta_L = 0$  for each  $L \in Q$ . By Claim 1, we obtain

$$\sum_{n \in F_i} \alpha_i f_i(x) + \sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.7)$$

Suppose, to the contrary, that  $L$  is a minimal subset in  $Q$  such that  $\beta_L \neq 0$ . Let  $v_L$  be the characteristic vector for  $L$ . Then  $q_{L'}(v_L) = 0$  for each  $L' \in Q$  which is not a subset of  $L$ . Since  $n \in L$ ,  $A_I(v_L) = 0$  for each  $I \in W$ . For each  $F_j$  with  $n \in F_j$ , since  $|L \cap F_j| \in \mathcal{L}$ , we have  $f_j(v_L) = 0$ . Thus, by evaluating equation (2.7) with  $x = v_L$ , we obtain  $\beta_L = 0$ , a contradiction. Therefore,  $\beta_L = 0$  for each  $L \in Q$ .

**Claim 3.**  $\alpha_i = 0$  for each  $i$  with  $n \in F_i$ . Applying Claims 1 and 2, we get

$$\sum_{n \in F_i} \alpha_i f_i(x) + \sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.8)$$

Suppose, to the contrary, that  $i_0$  is a subscript such that  $n \in F_{i_0}$  and  $\alpha_{i_0} \neq 0$ . Note that  $f(v_{i_0}) = 0$  and so  $A_I(v_{i_0}) = 0$  for each  $I \in W$ . By evaluating equation (2.8) with  $x = v_{i_0}$ , we obtain  $\alpha_{i_0} f_{i_0}(v_{i_0}) = 0 \pmod{p}$  which implies  $\alpha_{i_0} = 0$ , a contradiction. Thus, the claim is verified.

By Claims 1-3, we now have

$$\sum_{I \in W} \mu_I A_I(x) = 0. \quad (2.9)$$

Since  $s - 1 \leq \max l_j < \min k_i$ ,  $K \subseteq \{0, 1, \dots, p - 1\}$  and  $K$  has a gap at least  $s$ . Recall that

$$f(x) = \prod_{k \in K} \left( \sum_{j=1}^n x_j - k \right).$$

Setting  $x_n = 0$  and applying Lemma 2.1 with  $d - 1 = s - r - 1$ , we conclude that the set of polynomials  $\{A_I(x) = x_{I'}(x_n - 1)f(x) \mid I \in W, I' = I - \{n\}\}$  is linearly independent over  $\mathbf{F}_p$ , and so  $\mu_I = 0$  for each  $I \in W$  in equation (2.9).

In summary, we have shown that the polynomials in

$$\{f_i(x) \mid 1 \leq i \leq m\} \cup \{q_L(x) \mid L \in Q\} \cup \{A_I(x) \mid I \in W\}$$

are linearly independent. Since the set of all monomials in variables  $x_i$ ,  $1 \leq i \leq n$ , of degree at most  $s$  forms a basis for the vector space of multilinear polynomials of degree at most  $s$ , it follows that

$$m + \sum_{i=0}^{s-1} \binom{n-1}{i} + \sum_{i=0}^{s-r-1} \binom{n-1}{i} \leq \sum_{i=0}^s \binom{n}{i}$$

which implies that

$$|\mathcal{F}| \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \dots + \binom{n-1}{s-r}.$$

This completes the proof of the theorem. □

### 3 Proof of Theorems 1.15 and 1.18

We first give a proof for Theorem 1.15 which is along the same line as the proof of Theorem 1.11 but with some differences.

**Proof of Theorem 1.15.** Let  $p$  be a prime and  $\mathcal{L} = \{l_1, l_2, \dots, l_s\} \subseteq \{1, 2, \dots, p-1\}$ . Suppose that  $\mathcal{A} = \{A_1, A_2, \dots, A_m\}$  and  $\mathcal{B} = \{B_1, B_2, \dots, B_m\}$  are two collections of subsets of  $X$  such that  $|A_i \cap B_j| \pmod{p} \in \mathcal{L}$  for  $i \neq j$  and  $|A_i \cap B_i| = 0$  for every  $i$ . Without loss of generality, let  $r$  be the number of different set sizes in  $\mathcal{A}$  which is no bigger than the number of different set sizes in  $\mathcal{B}$ . In what follows, we will use  $v_I$  to denote the characteristic vector of  $I$  for each subset  $I \subseteq [n]$ .

For each  $B_i \in \mathcal{B}$ , define

$$f_{B_i}(x) = \prod_{j=1}^s (v_{B_i} \cdot x - l_j).$$

Then each  $f_{B_i}(x)$  is a multilinear polynomial of degree at most  $s$ . Since  $|A_i \cap B_i| = 0 \pmod{p}$  for each  $i$  and  $|A_i \cap B_j| \pmod{p} \in \mathcal{L}$  for  $i \neq j$ ,  $f_{B_i}(v_{A_i}) = \prod_{j=1}^s (-l_j) \neq 0 \pmod{p}$  for every  $i \leq m$  and  $f_{B_i}(v_{A_j}) = 0 \pmod{p}$  for every pair  $i \neq j$ .

Let  $Q$  be the family of subsets of  $X = [n]$  with size at most  $s$  which contain  $n$ . Then  $|Q| = \sum_{i=0}^{s-1} \binom{n-1}{i}$ . For each  $L \in Q$ , define

$$q_L(x) = \left( \prod_{j \in L} x_j \right).$$

Let  $H = \{|A_i| - 1 \pmod{p} | A_i \in \mathcal{A}\} \cup \{|A_i| \pmod{p} | A_i \in \mathcal{A}\}$ . Then  $|H| \leq 2r$ . Set

$$f(x) = \prod_{h \in H} \left( \sum_{j=1}^{n-1} x_j - h \right).$$

Let  $W$  be the family of subsets of  $[n]$  with sizes at most  $s-2r$  which do not contain  $n$ . Then  $|W| = \sum_{i=0}^{s-2r} \binom{n-1}{i}$ . For each  $I \in W$ , define

$$K_I(x) = \left( \prod_{j \in I} x_j \right) f(x).$$

Then each  $K_I(x)$  is a multilinear polynomial of degree at most  $s$ .

We now proceed to show that the polynomials in

$$\{f_{B_i}(x)|1 \leq i \leq m\} \cup \{q_L(x)|L \in Q\} \cup \{K_I(x)|I \in W\}$$

are linearly independent over  $\mathbf{F}_p$ . Suppose that we have a linear combination of these polynomials that equals zero:

$$\sum_{i=1}^m \alpha_i f_{B_i}(x) + \sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I K_I(x) = 0. \quad (3.1)$$

**Claim 1.**  $\alpha_i = 0$  for each  $i$  with  $n \notin A_i$ .

Suppose, to the contrary, that  $i'$  is a subscript such that  $n \notin A_{i'}$  and  $\alpha_{i'} \neq 0$ . Since  $n \notin A_{i'}$ ,  $q_L(v_{A_{i'}}) = 0$  for every  $L \in Q$ . Recall that  $f_{B_j}(v_{A_{i'}}) = 0$  for  $j \neq i'$  and  $f(v_{A_{i'}}) = 0$ . By evaluating equation (3.1) with  $x = v_{A_{i'}}$ , we obtain that  $\alpha_{i'} f_{B_{i'}}(v_{A_{i'}}) = 0 \pmod{p}$ . Since  $f_{B_{i'}}(v_{A_{i'}}) \neq 0 \pmod{p}$ , we have  $\alpha_{i'} = 0$ , a contradiction. Thus, Claim 1 holds.

**Claim 2.**  $\alpha_i = 0$  for each  $i$  with  $n \in A_i$ . Applying Claim 1, we get

$$\sum_{n \in A_i} \alpha_i f_{B_i}(x) + \sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I K_I(x) = 0. \quad (3.2)$$

Suppose, to the contrary, that  $i'$  is a subscript such that  $n \in A_{i'}$  and  $\alpha_{i'} \neq 0$ . Since  $|A_i \cap B_i| = 0$  for every  $i$ ,  $n \notin B_i$  whenever  $n \in A_i$ . Let  $v'_{A_{i'}} = v_{A_{i'}} - (0, 0, \dots, 0, 0, 1)$  (namely, making  $x_n = 0$  in  $v'_{A_{i'}}$ ). Note that  $f_{B_j}(v'_{A_{i'}}) = f_{B_j}(v_{A_{i'}})$  for each  $B_j$  with  $n \notin B_j$ , and  $K_I(v'_{A_{i'}}) = 0$  for each  $I \in W$ . By evaluating equation (3.2) with  $x = v'_{A_{i'}}$ , we obtain  $\alpha_{i'} f_{B_{i'}}(v'_{A_{i'}}) = \alpha_{i'} f_{B_{i'}}(v_{A_{i'}}) = 0 \pmod{p}$  which implies  $\alpha_{i'} = 0$ , a contradiction. Thus, the claim is verified.

**Claim 3.**  $\beta_L = 0$  for each  $L \in Q$ .

By Claims 1 and 2, we obtain

$$\sum_{L \in Q} \beta_L q_L(x) + \sum_{I \in W} \mu_I K_I(x) = 0. \quad (3.3)$$

Note that the first sum has a factor  $x_n$  while  $x_n$  does not appear in the second sum in equation (3.3). Setting  $x_n = 0$  in equation (3.3) gives us

$$\sum_{I \in W} \mu_I K_I(x) = 0$$

and so

$$\sum_{L \in Q} \beta_L q_L(x) = 0.$$

It is not difficult to see that the polynomials  $q_L(x)$ ,  $L \in Q$ , are linearly independent. Therefore, we conclude that  $\beta_L = 0$  for each  $L \in Q$ .

By Claims 1-3, we now have

$$\sum_{I \in W} \mu_I K_I(x) = 0. \tag{3.4}$$

Since  $H = \{|A_i| - 1 \pmod{p} | A_i \in \mathcal{A}\} \cup \{|A_i| \pmod{p} | A_i \in \mathcal{A}\}$  and  $s \leq \max l_j < \min\{|A_i| : 1 \leq i \leq m\}$ ,  $H \subseteq \{0, 1, \dots, p-1\}$  and  $H$  has a gap at least  $s$ . Recall that

$$f(x) = \prod_{h \in H} \left( \sum_{j=1}^{n-1} x_j - h \right).$$

By applying Lemma 2.1 with  $d-1 = s-2r$ , we conclude that the set of polynomials  $\{K_I(x) = x_I f(x) | I \in W\}$  is linearly independent over  $\mathbf{F}_p$ , and so  $\mu_I = 0$  for each  $I \in W$  in equation (3.4).

In summary, we have shown that the polynomials in

$$\{f_{B_i}(x) | 1 \leq i \leq m\} \cup \{q_L(x) | L \in Q\} \cup \{K_I(x) | I \in W\}$$

are linearly independent. Since the set of all monomials in variables  $x_i$ ,  $1 \leq i \leq n$ , of degree at most  $s$  forms a basis for the vector space of multilinear polynomials of degree at most  $s$ , it follows that

$$m + \sum_{i=0}^{s-1} \binom{n-1}{i} + \sum_{i=0}^{s-2r} \binom{n-1}{i} \leq \sum_{i=0}^s \binom{n}{i}$$

which implies that

$$m \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \cdots + \binom{n-1}{s-2r+1}.$$

This completes the proof of the theorem.  $\square$

We remark that with exactly the same proof as above, we can obtain the following stronger result than Theorem 1.15.

**Theorem 3.1.** Let  $p$  be a prime and  $\mathcal{L} = \{l_1, l_2, \dots, l_s\} \subseteq \{1, 2, \dots, p-1\}$ . Suppose that  $\mathcal{A} = \{A_1, A_2, \dots, A_m\}$  and  $\mathcal{B} = \{B_1, B_2, \dots, B_m\}$  are two collections of subsets of  $X$  such that  $|A_i \cap B_j| \pmod{p} \in \mathcal{L}$  for  $i \neq j$ ,  $|A_i \cap B_i| \pmod{p} \notin \mathcal{L}$  and  $n \notin A_i \cap B_i$  for every  $i$ . If  $\max l_j < \min\{|A_i| \pmod{p} | 1 \leq i \leq m\}$ , then

$$m \leq \binom{n-1}{s} + \binom{n-1}{s-1} + \cdots + \binom{n-1}{s-2r+1},$$

where  $r$  is the number of different set sizes in  $\mathcal{A}$ .

Note that if the set sizes  $\pmod{p}$  in  $\mathcal{A}$  (or in  $\mathcal{B}$ ) is a set of  $r$  consecutive integers in  $\{1, 2, \dots, p-1\}$ , then  $H = \{|A_i| - 1 \pmod{p} | A_i \in \mathcal{A}\} \cup \{|A_i| \pmod{p} | A_i \in \mathcal{A}\}$  has size  $|H| = r + 1$ . Thus, with a little bit modification in the proof of Theorem 1.15, we obtain a proof for Theorem 1.18.

**Proof of Theorem 1.18.** The proof is almost identical to the proof of Theorem 1.15 by selecting  $W$  to be the set of all subsets of  $[n]$  with sizes at most  $s - r - 1$  which do not contain  $n$ .  $\square$

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