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# Inequalities for higher order differences of the logarithm of the overpartition function and a problem of Wang–Xie–Zhang

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## Abstract

Let  $\bar{p}(n)$  denote the overpartition function. In this paper, our primary goal is to study the asymptotic behavior of the finite differences of the logarithm of the overpartition function, i.e.,  $(-1)^{r-1} \Delta^r \log \bar{p}(n)$ , by studying the inequality of the following form

$$\log\left(1 + \frac{C(r)}{n^{r-1/2}} - \frac{1 + C_1(r)}{n^r}\right) < (-1)^{r-1} \Delta^r \log \bar{p}(n) < \log\left(1 + \frac{C(r)}{n^{r-1/2}}\right) \text{ for } n \geq N(r),$$

where  $C(r)$ ,  $C_1(r)$ , and  $N(r)$  are computable constants depending on the positive integer  $r$ , determined explicitly. This solves a problem posed by Wang, Xie and Zhang in the context of searching for a better lower bound of  $(-1)^{r-1} \Delta^r \log \bar{p}(n)$  than 0. By settling the problem, we are able to show that

$$\lim_{n \rightarrow \infty} (-1)^{r-1} \Delta^r \log \bar{p}(n) = \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} n^{\frac{1}{2}-r}.$$

**Keywords:** Overpartition, log-concavity, Finite difference

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## 1 Introduction

An overpartition of a positive integer  $n$  is a nonincreasing sequence of positive integers whose sum is  $n$  in which the first occurrence of a number may be overlined,  $\bar{p}(n)$  denotes the number of overpartitions of  $n$ , and we define  $\bar{p}(0) = 1$ . For example, there are 8 overpartitions of 3 enumerated by  $3, \bar{3}, 2 + 1, \bar{2} + 1, 2 + \bar{1}, \bar{2} + \bar{1}, 1 + 1 + 1, \bar{1} + 1 + 1$ . A thorough study of the overpartition function started with the work of Corteel and Lovejoy [1], although it has been studied under different nomenclature that dates back to MacMahon. Similar to the Hardy-Ramanujan-Rademacher formula for the partition function (cf. [2, 3]), Zuckerman's [4] formula for  $\bar{p}(n)$  states that

$$\bar{p}(n) = \frac{1}{2\pi} \sum_{\substack{k=1 \\ 2 \nmid k}}^{\infty} \sqrt{k} \sum_{\substack{h=0 \\ (h,k)=1}}^{k-1} \frac{\omega(h, k)^2}{\omega(2h, k)} e^{-\frac{2\pi i n h}{k}} \frac{d}{dn} \left( \frac{\sinh \frac{\pi \sqrt{n}}{k}}{\sqrt{n}} \right), \quad (1.1)$$

where

$$\omega(h, k) = \exp\left(\pi i \sum_{r=1}^{k-1} \frac{r}{k} \left(\frac{hr}{k} - \left\lfloor \frac{hr}{k} \right\rfloor - \frac{1}{2}\right)\right)$$

for  $(h, k) \in \mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 1}$ . Engel [5] determined an error term for  $\bar{p}(n)$  and found that

$$\bar{p}(n) = \frac{1}{2\pi} \sum_{\substack{k=1 \\ 2 \nmid k}}^N \sqrt{k} \sum_{\substack{h=0 \\ (h,k)=1}}^{k-1} \frac{\omega(h, k)^2}{\omega(2h, k)} e^{-\frac{2\pi i n h}{k}} \frac{d}{dn} \left(\frac{\sinh \frac{\pi \sqrt{n}}{k}}{\sqrt{n}}\right) + R_2(n, N), \tag{1.2}$$

where

$$|R_2(n, N)| < \frac{N^{5/2}}{\pi n^{3/2}} \sinh\left(\frac{\pi \sqrt{n}}{N}\right), \tag{1.3}$$

similar to the work done by Lehmer [6] in order to obtain an error bound for the partition function  $p(n)$ .

A positive sequence  $\{a_n\}_{n \geq 0}$  is said to be log-concave (resp. log-convex) if for all  $n \geq 1$ ,  $a_n^2 \geq a_{n-1}a_{n+1}$  (resp.  $a_n^2 \leq a_{n-1}a_{n+1}$ ), and it is said to be strictly log-concave (resp. strictly log-convex) if the inequality is strict.

Using the notations above, Engel’s result [5] actually states that  $\{\bar{p}(n)\}_{n \geq 1}$  is log-concave. In fact, if one defines  $\bar{p}(0) := 1$ , then  $\{\bar{p}(n)\}_{n \geq 0}$  is actually also log-concave. Engel proved that  $\{\bar{p}(n)\}_{n \geq 4}$  is strictly log-concave by using the asymptotic formula (1.2) with  $N = 3$ , and the error bound (1.3). Prior to Engel’s work on overpartitions, the log-concavity of the partition function  $p(n)$  and its associated inequalities has been studied in a wider spectrum, details can be found in [7–9]. On the other hand, Liu and Zhang [10] proved a family of inequalities for the overpartition function. Higher order log-concavity and log-convexity for the overpartition function has been studied in [11, 12] respectively.

Chen, Guo and Wang [13] introduced the notion of ratio log-convexity of a sequence and established that ratio log-convexity implies log-convexity under a certain initial condition. A sequence  $\{a_n\}_{n \geq k}$  is called ratio log-convex if  $\{a_{n+1}/a_n\}_{n \geq k}$  is log-convex or, equivalently, for  $n \geq k + 1$ ,

$$\Delta^3 \log a_{n-1} = \log a_{n+2} - 3 \log a_{n+1} + 3 \log a_n - \log a_{n-1} \geq 0,$$

where  $\Delta$  be the difference operator defined by  $\Delta f(n) = f(n + 1) - f(n)$ . Chen, Guo, and Wang relates the ratio log-convexity of a sequence, say  $\{a_n\}_{n \geq k}$ , with strict log-convexity of the associated sequence  $\{\sqrt[n]{a_n}\}_{n \geq k}$  stated in the following theorem.

**Theorem 1.1** [13, Theorem 3.6] *Let  $k$  be a positive integer. If a sequence  $\{a_n\}_{n \geq k}$  is ratio log-convex and*

$$\frac{\sqrt[k+1]{a_{k+1}}}{\sqrt[k]{a_k}} < \frac{\sqrt[k+2]{a_{k+2}}}{\sqrt[k+1]{a_{k+1}}},$$

*then the sequence  $\{\sqrt[n]{a_n}\}_{n \geq k}$  is strictly log-convex.*

Similar to the work done by Chen et al. [8] for  $p(n)$ , Wang, Xie and Zhang [14] proved the following two theorems.

**Theorem 1.2** [14, Theorem 3.1] *For each  $r \geq 1$ , there exists a positive number  $n(r)$  such that for all  $n \geq n(r)$ ,*

$$(-1)^{r-1} \Delta^r \log \bar{p}(n) > 0.$$

**Theorem 1.3** [14, Theorem 4.1] *For each  $r \geq 1$ , there exists a positive number  $n(r)$  such that for all  $n \geq n(r)$ ,*

$$(-1)^{r-1} \Delta^r \log \bar{p}(n) < \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}},$$

where  $(\alpha)_r := \alpha \cdot (\alpha + 1) \cdots (\alpha + r - 1)$ .

*Remark 1.4* Following Theorem 1.3, we observe that log-concavity and ratio log-convexity for  $\bar{p}(n)$  correspond to the cases  $r = 2$  and  $r = 3$  respectively.

Wang, Xie, and Zhang raised the following question:

**Problem 1.5** [14, Problem 3.4] *Does there exist a positive number  $A$  such that*

$$n^{r-1/2} (-1)^{r-1} \Delta^r \log \bar{p}(n) > A,$$

*for any  $r \geq 1$  and all sufficiently large  $n$ ?*

In other words, their problem reads “Moreover, we seek a sharp lower bound for  $(-1)^{r-1} \Delta^r \log \bar{p}(n)$ ”.

The main motivation of this paper is to give an affirmative answer to the Problem 1.5 in Theorems 1.6 and 1.8. This in turn clarifies the asymptotic growth of  $(-1)^{r-1} \Delta^r \log \bar{p}(n)$ , see Corollary 1.9. In Corollaries 1.10 and 1.11, we recover the log-concavity and its (shifted) companion inequality respectively.

**Theorem 1.6** *For  $n \geq 26$ ,*

$$\log\left(1 + \frac{\pi}{2\sqrt{n}}\right) < \Delta \log \bar{p}(n) < \log\left(1 + \frac{\pi}{2\sqrt{n}} + \frac{\pi^2}{40n}\right). \tag{1.4}$$

**Definition 1.7** For  $r \geq 2$ , we define

$$N_0(m) := \begin{cases} 1, & \text{if } m = 1, \\ 2m \log m - m \log \log m, & \text{if } m \geq 2, \end{cases} \tag{1.5}$$

$$N_1(r) := \max\left\{85, \left\lceil \frac{4}{\pi^2} N_0^2(2r + 2) \right\rceil\right\}, \tag{1.6}$$

$$C(r) := \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1}, \tag{1.7}$$

$$C_1(r) := (r - 1)! + 4r^2 C(r), \tag{1.8}$$

$$C_2(r) := \sum_{k=0}^{2r-2} \frac{1}{(k + 1)\pi^{k+1}} \left(\frac{k + 1}{2}\right)_r \frac{1}{r^k} + \frac{r}{10^r}, \tag{1.9}$$

$$N_2(r) := \left\lceil \left(\frac{1 + C_1(r)}{C(r)}\right)^2 \right\rceil, \tag{1.10}$$

$$N_3(r) := \max\left\{N_1(r), 2r^2, \left\lceil \left(\frac{2^{r+1}(C_2(r) + 1)}{(r - 1)!}\right)^2 \right\rceil, \left\lceil \left[ r^{-1} \sqrt{\left(\frac{2^r C(r)^2}{(r - 1)!}\right)} \right] \right\rceil\right\}, \tag{1.11}$$

$$\text{and } N(r) := \max\{N_2(r), N_3(r)\}. \tag{1.12}$$

**Theorem 1.8** For  $r \in \mathbb{Z}_{\geq 2}$  and  $n \geq N(r)$ ,

$$0 < \log\left(1 + \frac{C(r)}{n^{r-1/2}} - \frac{1 + C_1(r)}{n^r}\right) < (-1)^{r-1} \Delta^r \log \bar{p}(n) < \log\left(1 + \frac{C(r)}{n^{r-1/2}}\right), \tag{1.13}$$

where  $C(r)$  and  $C_1(r)$  are given in (1.7)–(1.8).

**Corollary 1.9** For  $r \in \mathbb{Z}_{\geq 1}$ ,

$$\lim_{n \rightarrow \infty} n^{r-1/2} (-1)^{r-1} \Delta^r \log \bar{p}(n) = \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1}. \tag{1.14}$$

*Proof* Multiplying both sides of (1.4) (resp. (1.13)) by  $\sqrt{n}$  (resp. by  $n^{r-1/2}$ ) and taking limits as  $n$  tends to infinity, we obtain (1.14).  $\square$

**Corollary 1.10** [5, Theorem 1.2] For  $n \geq 4$ ,  $\bar{p}(n)^2 \geq \bar{p}(n-1)\bar{p}(n+1)$ .

*Proof* Observe that  $N(2) = 344$  and from the lower bound of (1.13), we observe that  $\{\bar{p}(n)\}_{n \geq 344}$  is log-concave and for the remaining cases  $5 \leq n \leq 343$ , we confirm by numerical checking in Mathematica.  $\square$

Consider the shifted version of Corollary 1.10, namely, for  $n \geq 3$ ,  $\bar{p}(n+1)^2 \geq \bar{p}(n)\bar{p}(n+2)$ . Analogous to [10, Equation (1.6)], we obtain the (shifted) companion inequality in the following form.

**Corollary 1.11** For  $n \geq 1$ ,

$$\frac{\bar{p}(n)}{\bar{p}(n+1)} \left(1 + \frac{\pi}{4n^{3/2}}\right) > \frac{\bar{p}(n+1)}{\bar{p}(n+2)}. \tag{1.15}$$

*Proof* Using (1.13) with  $r = 2$  directly gives (1.15).  $\square$

**Corollary 1.12** For  $n \geq 18$ ,  $\Delta^3 \log \bar{p}(n-1) > 0$ .

*Proof* Applying (1.13) with  $r = 3$ , we observe that for  $n \geq 1486 = N(3)$ ,  $\Delta^3 \log \bar{p}(n) > 0$ , which is equivalent to say that for  $n \geq 1487$ ,  $\Delta^3 \log \bar{p}(n-1) > 0$ . For the remaining cases  $18 \leq n \leq 1486$ , we confirm the inequality  $\Delta^3 \log \bar{p}(n-1) > 0$  by numerical checking in Mathematica.  $\square$

Define  $r(n) := \sqrt[n]{\bar{p}(n)}$ .

**Corollary 1.13** [11, Corollary 1.3] For  $n \geq 4$ ,  $r(n)^2 < r(n-1)r(n+1)$ .

*Proof* From Corollary 1.12, we have  $\{\bar{p}(n)\}_{n \geq 18}$  is ratio log-convex. Now applying Theorem 1.1 with  $k = 18$ , and checking numerically

$$\frac{\sqrt[19]{\bar{p}(19)}}{\sqrt[18]{\bar{p}(18)}} < \frac{\sqrt[20]{\bar{p}(20)}}{\sqrt[19]{\bar{p}(19)}}$$

we conclude that  $\{r(n)\}_{n \geq 18}$  is strictly log-convex; i.e., for all  $n \geq 18$ ,  $r(n)^2 < r(n-1)r(n+1)$ , and for the remaining cases  $4 \leq n \leq 17$ , we confirm by numerical checking in Mathematica.  $\square$

This paper is organized as follows. A preliminary setup for decomposing  $(-1)^{r-1} \Delta^r \log \bar{p}(n) = H_r + G_r$  (cf. see (2.4), (2.5), and (2.6)), as done in [14], and estimations for both  $H_r$  and  $G_r$  are given in Sect. 2. Proofs of Theorems 1.6 and 1.8 are given in Sect. 3.

## 2 preliminary lemmas

Following the notations given in Engel [5] and Wang et al. [14], split  $\bar{p}(n)$  as

$$\bar{p}(n) = \widehat{T}(n) \left( 1 + \frac{\widehat{R}(n)}{\widehat{T}(n)} \right), \tag{2.1}$$

where

$$\widehat{T}(n) = \frac{1}{8n} \left( 1 - \frac{1}{\widehat{\mu}(n)} \right) e^{\widehat{\mu}(n)} \tag{2.2}$$

$$\text{and } \widehat{R}(n) = \frac{1}{8n} \left( 1 + \frac{1}{\widehat{\mu}(n)} \right) e^{-\widehat{\mu}(n)} + R_2(n, 3) \tag{2.3}$$

with  $\widehat{\mu}(n) = \pi \sqrt{n}$ .

*Remark 2.1* The splitting for  $\bar{p}(n)$  used here is actually slightly different from what is found in [5, 14].

Taking the logarithm on both sides of (2.1) and plugging the definitions from (2.2)–(2.3), we obtain

$$\log \bar{p}(n) = \log \frac{\pi^2}{8} - 3 \log \widehat{\mu}(n) + \log(\widehat{\mu}(n) - 1) + \widehat{\mu}(n) + \log \left( 1 + \frac{\widehat{R}(n)}{\widehat{T}(n)} \right).$$

Therefore,

$$(-1)^{r-1} \Delta^r \log \bar{p}(n) = H_r + G_r, \tag{2.4}$$

where

$$H_r = (-1)^{r-1} \Delta^r (-3 \log \widehat{\mu}(n) + \log(\widehat{\mu}(n) - 1) + \widehat{\mu}(n)) \tag{2.5}$$

$$G_r = (-1)^{r-1} \Delta^r \log \left( 1 + \frac{\widehat{R}(n)}{\widehat{T}(n)} \right). \tag{2.6}$$

Then we have that for  $r \geq 1$ ,

$$H_r - |G_r| \leq (-1)^{r-1} \Delta^r \log \bar{p}(n) \leq H_r + |G_r|. \tag{2.7}$$

To estimate the bounds for  $(-1)^{r-1} \Delta^r \log \bar{p}(n)$ , we need to establish bounds for  $H_r$  and  $|G_r|$ . Our first goal is to determine a bound for  $|G_r|$  for  $r \geq 1$  and then we further proceed with  $H_r$  but splitting into cases, namely, for  $r = 1$  and  $r \geq 2$ .

**Lemma 2.2** [12, Lemma 2.1] *For any integer  $m \geq 1$  and  $x \geq N_0(m)$ ,*

$$x^m e^{-x} < 1,$$

where  $N_0(m)$  is defined in (1.5).

$$\text{Recall that } N_1(r) = \max \left\{ 85, \left\lceil \frac{4}{\pi^2} N_0^2(2r + 2) \right\rceil \right\} \text{ (cf. (1.6)).}$$

**Lemma 2.3** *For all  $n \geq N_1(r)$  and  $r \geq 1$ ,*

$$|G_r| < \frac{1}{n^{r+1}}. \tag{2.8}$$

*Proof* Define  $\widehat{e}(n) := \frac{\widehat{R}(n)}{\widehat{T}(n)}$ . From the definition of  $\widehat{R}(n)$  and  $\widehat{T}(n)$  (cf. Equations (2.2)–(2.3)), we have

$$\begin{aligned} |\widehat{e}(n)| &= \frac{|\widehat{R}(n)|}{|\widehat{T}(n)|} \\ &= \left| \frac{\frac{1}{8n} \left(1 + \frac{1}{\widehat{\mu}(n)}\right) e^{-\widehat{\mu}(n)} + R_2(n, 3)}{\frac{1}{8n} \left(1 - \frac{1}{\widehat{\mu}(n)}\right) e^{\widehat{\mu}(n)}} \right| \\ &< \frac{\widehat{\mu}(n) + 1}{\widehat{\mu}(n) - 1} e^{-2\widehat{\mu}(n)} + \frac{36\sqrt{3}}{\widehat{\mu}(n) - 1} e^{-2\widehat{\mu}(n)/3} \\ &\quad \left(\text{using } N = 3 \text{ in (1.3) and } \sinh(x) < \frac{e^x}{2} \text{ for } x > 0\right) \\ &= \frac{1}{\widehat{\mu}(n) - 1} e^{-\widehat{\mu}(n)/2} \left( (\widehat{\mu}(n) + 1) e^{-3\widehat{\mu}(n)/2} + 36\sqrt{3} e^{-\widehat{\mu}(n)/6} \right). \end{aligned} \tag{2.9}$$

Since for all  $n \geq 85$ ,

$$(\widehat{\mu}(n) + 1) e^{-3\widehat{\mu}(n)/2} + 36\sqrt{3} e^{-\widehat{\mu}(n)/6} < \frac{1}{2} \text{ and } \frac{1}{\widehat{\mu}(n) - 1} < 1,$$

from (2.9), it follows that for all  $n \geq 85$ ,

$$|\widehat{e}(n)| < \frac{1}{2} e^{-\widehat{\mu}(n)/2}. \tag{2.10}$$

Therefore, for all  $n \geq 85$ ,

$$\begin{aligned} |G_r| &= \left| (-1)^{r-1} \Delta^r \log(1 + \widehat{e}(n)) \right| \text{ (by (2.6))} \\ &= \left| \sum_{i=0}^r (-1)^{r-i} \binom{r}{i} \log(1 + \widehat{e}(n+i)) \right| \\ &\leq \sum_{i=0}^r \binom{r}{i} \left| \log(1 + \widehat{e}(n+i)) \right| \\ &\leq \sum_{i=0}^r \binom{r}{i} \frac{|\widehat{e}(n+i)|}{1 - |\widehat{e}(n+i)|} \left( \text{since } |\log(1+x)| \leq \frac{|x|}{1-|x|} \text{ for } |x| < 1 \right) \\ &\leq 2 \sum_{i=0}^r \binom{r}{i} |\widehat{e}(n+i)| \left( \text{as } \frac{x}{1-x} \leq 2x \text{ for } 0 < x \leq \frac{1}{2} \right) \\ &< \sum_{i=0}^r \binom{r}{i} e^{-\widehat{\mu}(n+i)/2} \text{ (by (2.10))} \\ &\leq \sum_{i=0}^r \binom{r}{i} e^{-\widehat{\mu}(n)/2} \left( \text{since } \{e^{-\widehat{\mu}(n)/2}\}_{n \geq 1} \text{ is a decreasing sequence} \right) \\ &= 2^r e^{-\widehat{\mu}(n)/2}. \end{aligned} \tag{2.11}$$

Now applying Lemma 2.2 with  $m = 2r + 2$  and assigning  $x \mapsto \frac{\widehat{\mu}(n)}{2}$ , it follows that for

$$n \geq \left\lceil \frac{4}{\pi^2} N_0^2(2r + 2) \right\rceil,$$

$$e^{-\widehat{\mu}(n)/2} < \left(\frac{2}{\pi}\right)^{2r+2} \frac{1}{n^{r+1}} \implies 2^r e^{-\widehat{\mu}(n)/2} < \left(\frac{2\sqrt{2}}{\pi}\right)^{2r+2} \frac{1}{n^{r+1}} < \frac{1}{n^{r+1}}. \tag{2.12}$$

□

Before we state the bounds for  $H_r$ , we recall the following result due to Odlyzko [15] on the relation between the higher order differences of a smooth function and its derivatives. The following proposition can be proved using elementary techniques such as the mean value theorem.

**Proposition 2.4** *Let  $r$  be a positive integer. Suppose that  $f(t)$  is a function with continuous derivatives for  $t \geq 1$ , and  $(-1)^{k-1}f^{(k)}(t) > 0$  for  $k \geq 1$ . Then for  $r \geq 1, x \geq 1$ ,*

$$(-1)^{r-1}f^{(r)}(x+r) \leq (-1)^{r-1}\Delta^r f(x) \leq (-1)^{r-1}f^{(r)}(x).$$

**Lemma 2.5** *For all  $n \geq 1$ ,*

$$L^{(1)}(n) \leq H_1 \leq U^{(1)}(n), \tag{2.13}$$

where

$$U^{(1)}(n) = \frac{\pi}{2\sqrt{n}} - \frac{3}{2(n+1)} + \frac{\pi}{2\sqrt{n}(\widehat{\mu}(n)-1)} \tag{2.14}$$

$$\text{and } L^{(1)}(n) = \frac{\pi}{2\sqrt{n+1}} - \frac{3}{2n} + \frac{\pi}{2\sqrt{n+1}(\widehat{\mu}(n+1)-1)}. \tag{2.15}$$

*Proof* Equation (2.13) follows immediately by applying Proposition 2.4 on each of the factors in  $H_r$  being presented in (2.5) for  $r = 1$ . □

**Lemma 2.6** *For  $r \geq 2$  and  $n \geq 2r^2$ ,*

$$\frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{C_1(r)}{n^r} < H_r < \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{2^r n^r} + \frac{C_2(r)}{n^{r+\frac{1}{2}}}, \tag{2.16}$$

where  $C(r), C_1(r)$ , and  $C_2(r)$  are given by (1.7)–(1.9).

*Proof* Rewrite (2.5) as

$$H_r = (-1)^{r-1}\Delta^r(\widehat{\mu}(n) - 2 \log \widehat{\mu}(n)) - \sum_{k=1}^{\infty} (-1)^{r-1}\Delta^r\left(\frac{1}{k\widehat{\mu}(n)^k}\right) \tag{2.17}$$

and applying Proposition 2.4, we get

$$\begin{aligned} & \frac{\pi}{2}\left(\frac{1}{2}\right)_{r-1} \frac{1}{(n+r)^{r-\frac{1}{2}}} - \frac{(r-1)!}{n^r} \\ & + \sum_{k=1}^{\infty} \frac{1}{k\pi^k} \left(\frac{k}{2}\right)_r \frac{1}{(n+r)^{r+\frac{k}{2}}} \leq H_r \\ & \leq \frac{\pi}{2}\left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{(n+r)^r} + \sum_{k=1}^{\infty} \frac{1}{k\pi^k} \left(\frac{k}{2}\right)_r \frac{1}{n^{r+\frac{k}{2}}}. \end{aligned} \tag{2.18}$$

Since for all positive integers  $n, r$  and  $k$ ,

$$\sum_{k=1}^{\infty} \frac{1}{k\pi^k} \left(\frac{k}{2}\right)_r \frac{1}{(n+r)^{r+\frac{k}{2}}} > 0.$$

Therefore,

$$\begin{aligned} & \frac{\pi}{2}\left(\frac{1}{2}\right)_{r-1} \frac{1}{(n+r)^{r-\frac{1}{2}}} - \frac{(r-1)!}{n^r} < H_r \\ & \leq \frac{\pi}{2}\left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{(n+r)^r} + \sum_{k=1}^{\infty} \frac{1}{k\pi^k} \left(\frac{k}{2}\right)_r \frac{1}{n^{r+\frac{k}{2}}}. \end{aligned} \tag{2.19}$$

Now we further investigate the lower bound of  $H_r$ , given in (2.19).

$$\begin{aligned}
 H_r &\geq \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{1}{(n+r)^{r-\frac{1}{2}}} - \frac{(r-1)!}{n^r} \\
 &= \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}} \left(1 + \frac{r}{n}\right)^{-r+\frac{1}{2}} - \frac{(r-1)!}{n^r} \\
 &= \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}} + \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}} \sum_{m=1}^{\infty} \binom{-\frac{2r-1}{2}}{m} \left(\frac{r}{n}\right)^m - \frac{(r-1)!}{n^r}. \tag{2.20}
 \end{aligned}$$

To bound the infinite series in (2.20), we proceed as follows

$$\begin{aligned}
 &\left| \sum_{m=1}^{\infty} \binom{-\frac{2r-1}{2}}{m} \left(\frac{r}{n}\right)^m \right| \\
 &= \left| \sum_{m=1}^{\infty} \frac{(-1)^m}{4^m} \frac{\binom{2r+2m-2}{r+m-1} \binom{r+m-1}{r-1}}{\binom{2r-2}{r-1}} \left(\frac{r}{n}\right)^m \right| \\
 &\leq \sum_{m=1}^{\infty} \frac{1}{4^m} \frac{\binom{2r+2m-2}{r+m-1} \binom{r+m-1}{r-1}}{\binom{2r-2}{r-1}} \left(\frac{r}{n}\right)^m \\
 &\leq \sum_{m=1}^{\infty} \frac{2\sqrt{r-1}}{\sqrt{\pi(r+m-1)}} \binom{r+m-1}{r-1} \left(\frac{r}{n}\right)^m \\
 &\quad \left( \text{since } \frac{4^k}{2\sqrt{k}} \leq \binom{2k}{k} \leq \frac{4^k}{\sqrt{\pi k}} \forall k \geq 1 \right) \\
 &< \frac{2r}{n} \sum_{m=0}^{\infty} \binom{r+m}{r-1} \left(\frac{r}{n}\right)^m \\
 &\leq \frac{2r}{n} \sum_{m=0}^{\infty} r^{m+1} \left(\frac{r}{n}\right)^m \left( \text{as } \binom{r+m}{r-1} \leq r^{m+1} \forall r \geq 1 \right) \\
 &= \frac{2r^2}{n} \sum_{m=0}^{\infty} \left(\frac{r^2}{n}\right)^m \leq \frac{4r^2}{n} \text{ for all } n \geq 2r^2. \tag{2.21}
 \end{aligned}$$

From (2.20) and (2.21), it follows that for  $n \geq 2r^2$ ,

$$\begin{aligned}
 H_r &\geq \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}} - \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{4r^2}{n^{r+\frac{1}{2}}} - \frac{(r-1)!}{n^r} \\
 &> \frac{\pi}{2} \left(\frac{1}{2}\right)_{r-1} \frac{1}{n^{r-\frac{1}{2}}} - \left( (r-1)! + 2\pi r^2 \left(\frac{1}{2}\right)_{r-1} \right) \frac{1}{n^r}. \tag{2.22}
 \end{aligned}$$

This finishes the estimation of the lower bound for  $H_r$ .

For the upper bound estimation of  $H_r$ , we start with (2.19) in the following way

$$\begin{aligned}
 H_r &\leq \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{(n+r)^r} + \sum_{k=1}^{\infty} \frac{1}{k\pi^k} \binom{k}{2}_r \frac{1}{n^{r+\frac{k}{2}}} \\
 &< \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{(2n)^r} + \sum_{k=1}^{\infty} \frac{1}{k\pi^k} \binom{k}{2}_r \frac{1}{n^{r+\frac{k}{2}}} \left( \text{since, } \frac{1}{(n+r)^r} > \frac{1}{(2n)^r} \forall n > r \right) \\
 &= \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{(2n)^r} + \frac{1}{n^{r+\frac{1}{2}}} \sum_{k=0}^{2r-2} \frac{1}{(k+1)\pi^{k+1}} \binom{k+1}{2}_r \frac{1}{\sqrt{n}^k} + \frac{1}{n^{r+\frac{1}{2}}} \sum_{k=2r}^{\infty} \frac{1}{k\pi^k} \binom{k}{2}_r \frac{1}{\sqrt{n}^{k-1}}
 \end{aligned}$$



$$\begin{aligned} &\leq \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{(2n)^r} + \frac{1}{n^{r+\frac{1}{2}}} \underbrace{\sum_{k=0}^{2r-2} \frac{1}{(k+1)\pi^{k+1}} \left(\frac{k+1}{2}\right)_r \frac{1}{r^k}}_{:=\widehat{C}_2(r)} + \frac{r}{n^{r+\frac{1}{2}}} \underbrace{\sum_{k=2r}^{\infty} \frac{1}{k\pi^k} \left(\frac{k}{2}\right)_r \frac{1}{r^k}}_{:=S(r)} \\ &\left(\text{since } \frac{1}{\sqrt{n^k}} \leq \frac{1}{r^k} \forall n \geq r^2\right). \end{aligned} \tag{2.23}$$

In order to estimate the infinite series  $S(r)$ , we need to give an upper bound of  $\left(\frac{k}{2}\right)_r$  by rewriting as

$$\left(\frac{k}{2}\right)_r = \left(\frac{k}{2}\right)^r \prod_{i=0}^{r-1} \left(1 + \frac{2i}{k}\right) := \left(\frac{k}{2}\right)^r P(r, k).$$

Now,

$$\log P(r, k) = \sum_{i=0}^{r-1} \log\left(1 + \frac{2i}{k}\right) < \sum_{i=0}^{r-1} \frac{2i}{k} = \frac{r(r-1)}{k} \implies P(r, k) < e^{\frac{r(r-1)}{k}}. \tag{2.24}$$

Using (2.24), we obtain

$$\begin{aligned} S(r) &< \sum_{k=2r}^{\infty} \frac{1}{k\pi^k} \left(\frac{k}{2}\right)^r e^{\frac{r(r-1)}{k}} \frac{1}{r^k} \\ &\leq \frac{e^{\frac{r-1}{2}}}{2^r} \sum_{k=2r}^{\infty} \frac{k^{r-1}}{(\pi r)^k} \left(\text{since } e^{\frac{r(r-1)}{k}} \leq e^{\frac{r-1}{2}} \forall k \geq 2r\right). \end{aligned} \tag{2.25}$$

Moreover,  $k^{r-1} < r^k$  for all  $r \geq 2$  and  $k \geq 2r$ . To observe this fact, note that  $k^{r-1} < r^k$  is equivalent to

$$\frac{r-1}{\log r} < \frac{k}{\log k}. \tag{2.26}$$

Define  $f(x) := \frac{x}{\log x}$  and observe that  $f(x)$  is strictly increasing for all  $x > e$ . As  $k \geq 2r \geq 4 > e$ , it follows that  $f(k) > f(2r)$  and the fact that  $f(2r) > \frac{r-1}{\log r}$  for  $r \geq 2$ , we conclude (2.26).

Applying (2.26) in (2.25), we get

$$S(r) < \frac{e^{\frac{r-1}{2}}}{2^r} \sum_{k=2r}^{\infty} \frac{1}{\pi^k} = \frac{\pi}{\sqrt{e}(\pi-1)} \left(\frac{\sqrt{e}}{2\pi^2}\right)^r < \frac{1}{10^r}. \tag{2.27}$$

Hence, by (2.27) and (2.23), we obtain for all  $n \geq r^2$ ,

$$\begin{aligned} H_r &< \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{2^r n^r} + \frac{\widehat{C}_2(r)}{n^{r+\frac{1}{2}}} + \frac{r}{10^r n^{r+\frac{1}{2}}} \\ &= \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{2^r n^r} + \underbrace{\left(\widehat{C}_2(r) + \frac{r}{10^r}\right)}_{:=C_2(r)} \frac{1}{n^{r+\frac{1}{2}}}. \end{aligned} \tag{2.28}$$

□

### 3 Proof of Theorem 1.6 and 1.8

*Proof of Theorem 1.6* Applying (2.13) and (2.8) in (2.7), we have for  $n \geq 85 = N_1(1)$ ,

$$L^{(1)}(n) - \frac{1}{n^2} < \Delta \log \bar{p}(n) < U^{(1)}(n) + \frac{1}{n^2}. \tag{3.1}$$

It is straightforward to show that for  $n \geq 457$ ,

$$-\frac{3}{2(n+1)} + \frac{\pi}{2\sqrt{n}(\widehat{\mu}(n)-1)} + \frac{1}{n^2} < -\frac{\pi^2}{10n} \tag{3.2}$$

and therefore

$$U^{(1)}(n) + \frac{1}{n^2} < \frac{\pi}{2\sqrt{n}} - \frac{\pi^2}{10n}. \tag{3.3}$$

Define  $c_n := \frac{\pi}{2\sqrt{n}} - \frac{\pi^2}{10n}$  and  $d_n := \frac{\pi}{2\sqrt{n}} + \frac{\pi^2}{40n}$ . It can be easily checked that for  $n \geq 3$ ,

$$c_n < d_n - \frac{d_n^2}{2} + \frac{d_n^3}{3} - \frac{d_n^4}{4} < \log(1 + d_n) \tag{3.4}$$

since  $\log(1+x) > x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4}$  for  $x > 0$ . Invoking (3.3) and (3.4) in (3.1), we get for  $n \geq 457$ ,

$$\Delta \log \bar{p}(n) < \log\left(1 + \frac{\pi}{2\sqrt{n}} + \frac{\pi^2}{40n}\right). \tag{3.5}$$

Similarly as before, it can be readily shown that for  $n \geq 79$ ,

$$L^{(1)}(n) - \frac{1}{n^2} > \frac{\pi}{2\sqrt{n}} - \frac{\pi^2}{8n} + \frac{\pi^3}{24n^{3/2}} \tag{3.6}$$

and

$$\frac{\pi}{2\sqrt{n}} - \frac{\pi^2}{8n} + \frac{\pi^3}{24n^{3/2}} > \log\left(1 + \frac{\pi}{2\sqrt{n}}\right) \tag{3.7}$$

as  $\log(1+x) < x - \frac{x^2}{2} + \frac{x^3}{3}$  for  $x > 0$ . Applying (3.6) and (3.7) into (3.1), it follows that for  $n \geq 85$ ,

$$\Delta \log \bar{p}(n) > \log\left(1 + \frac{\pi}{2\sqrt{n}}\right). \tag{3.8}$$

Equations (3.5) and (3.8) conclude the proof of Theorem 1.6 except for  $26 \leq n \leq 456$ , which we confirm by numerical checking in Mathematica.  $\square$

*Proof of Theorem 1.8* Applying (2.8) and (2.16) to the lower bound of (2.7), it follows that for  $n \geq \max\{N_1(r), 2r^2\}$ ,

$$(-1)^{r-1} \Delta^r \log \bar{p}(n) > \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{C_1(r)}{n^r} - \frac{1}{n^{r+1}} > \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{1 + C_1(r)}{n^r}. \tag{3.9}$$

We recall from (1.10) that  $N_2(r) = \left\lceil \left(\frac{1 + C_1(r)}{C(r)}\right)^2 \right\rceil$ . Then for all  $n \geq \max\{N_1(r), 2r^2, N_2(r)\}$ , it follows that

$$(-1)^{r-1} \Delta^r \log \bar{p}(n) > \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{1 + C_1(r)}{n^r} > \log\left(1 + \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{1 + C_1(r)}{n^r}\right) > 0. \tag{3.10}$$

For the upper bound estimation, putting (2.8) and (2.16) together into the upper bound of (2.7), it follows that for  $n \geq \max\{N_1(r), 2r^2\}$ ,

$$(-1)^{r-1} \Delta^r \log \bar{p}(n) < \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{2^r n^r} + \frac{C_2(r)}{n^{r+\frac{1}{2}}} + \frac{1}{n^{r+1}}$$

$$< \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{(r-1)!}{2^r n^r} + \frac{C_2(r)+1}{n^{r+\frac{1}{2}}}. \tag{3.11}$$

Next, our goal is to show for  $n \geq N_3(r)$ ,

$$-\frac{(r-1)!}{2^r n^r} + \frac{C_2(r)+1}{n^{r+\frac{1}{2}}} < -\frac{C(r)^2}{2 n^{2r-1}},$$

which is equivalent to

$$\frac{C(r)^2}{2} < n^{r-1} \left[ \frac{(r-1)!}{2^r} - \frac{C_2(r)+1}{\sqrt{n}} \right]. \tag{3.12}$$

Note that for all  $n \geq \left\lceil \left( \frac{2^{r+1}(C_2(r)+1)}{(r-1)!} \right)^2 \right\rceil$ ,  $\frac{(r-1)!}{2^{r+1}} - \frac{C_2(r)+1}{\sqrt{n}} > 0$  and therefore

$$\begin{aligned} n^{r-1} \left[ \frac{(r-1)!}{2^r} - \frac{C_2(r)+1}{\sqrt{n}} \right] &= n^{r-1} \left[ \frac{(r-1)!}{2^{r+1}} \right. \\ &\left. + \frac{(r-1)!}{2^{r+1}} - \frac{C_2(r)+1}{\sqrt{n}} \right] > n^{r-1} \frac{(r-1)!}{2^{r+1}}. \end{aligned} \tag{3.13}$$

Hence, to prove (3.12), it is sufficient to prove

$$n^{r-1} \frac{(r-1)!}{2^{r+1}} > \frac{C(r)^2}{2} \text{ which holds for all } n \geq \left\lceil \sqrt{r-1} \sqrt{\frac{2^r C(r)^2}{(r-1)!}} \right\rceil. \tag{3.14}$$

Recall that

$$N_3(r) = \max \left\{ N_1(r), 2r^2, \left\lceil \left( \frac{2^{r+1}(C_2(r)+1)}{(r-1)!} \right)^2 \right\rceil, \left\lceil \sqrt{r-1} \sqrt{\frac{2^r C(r)^2}{(r-1)!}} \right\rceil \right\} \text{ (cf. (1.11)).}$$

From (3.11) and (3.12), it follows that for  $n \geq N_3(r)$ ,

$$(-1)^{r-1} \Delta^r \log \bar{p}(n) < \frac{C(r)}{n^{r-\frac{1}{2}}} - \frac{C(r)^2}{2 n^{2r-1}} < \log \left( 1 + \frac{C(r)}{n^{r-1/2}} \right). \tag{3.15}$$

Equations (3.10) and (3.15) together imply that for  $n \geq \max\{N_2(r), N_3(r)\} = N(r)$ , (1.13) holds. □

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